



TECHNICAL REPORT

Guide to revision of national pandemic influenza preparedness plans

Lessons learned from the 2009 A(H1N1) pandemic

www.ecdc.europa.eu

ECDC TECHNICAL REPORT

Guide to revision of national pandemic influenza preparedness plans

Lessons learned from the 2009 A(H1N1) pandemic







REGIONAL OFFICE FOR Europe

This report of the European Centre for Disease Prevention and Control (ECDC) and the WHO Regional Office for Europe was developed by experts in pandemic preparedness planning from 11 European Union Member States and staff members of the following organisations: WHO Regional Office for Europe, the European Commission, the WHO Collaborating Centre for Pandemic Influenza and Research (University of Nottingham), the US Centers for Disease Control and Prevention, and ECDC.

It was coordinated and compiled by Caroline Brown (WHO), Massimo Ciotti (ECDC), Michala Hegermann-Lindencrone (WHO), Pasi Penttinen (ECDC), René Snacken (ECDC) and Angus Nicoll (ECDC).

Contributing authors

Theodor Ziegler, Florence Allot, Joan O Donnell, Ehud Kaliner, André Jacobi, Radu Cojocaru, Kosim Kuirbonov, Nicholas Phin, Jonathan Nguyen Van Tam (WHO Collaborating Centre for Pandemic Influenza and Research, Helmut Walerius (European Commission) and Adrienne Rashford (WHO Regional Office for Europe).

We acknowledge the valuable input from public health experts from all EU Member States and all countries of the WHO European Region.

Suggested citation: European Centre for Disease Prevention and Control. Guide to revision of national pandemic influenza preparedness plans - Lessons learned from the 2009 A(H1N1) pandemic. Stockholm: ECDC; 2017.

Stockholm, November 2017

Print	ISBN 978-92-9498-090-8	doi: 10.2900/466319	TQ-04-17-829-EN-C
PDF	ISBN 978-92-9498-091-5	doi: 10.2900/096346	TQ-04-17-829-EN-N

Cover picture: © Government of Alberta, image licensed under a Creative Commons attribution 2.0 generic license (CC BY-NC-ND 2.0)

© European Centre for Disease Prevention and Control, 2017

Reproduction is authorised, provided the source is acknowledged.

For any use or reproduction of photos or other material that is not under the EU copyright, permission must be sought directly from the copyright holders.

Contents

Abbreviations	iv
Introduction	1
Twelve key areas of pandemic preparedness	
Key area 1: Framework for pandemic planning	4
Key area 2: Pandemic planning and response based on risk assessment	5
Key area 3: Coordination, command and control	
Key area 4: Risk communication	8
Key area 5: Pandemic vaccines	9
Key area 6: Antivirals and other essential medicines	
Key area 7: Healthcare services preparedness	
Key area 8: Non-pharmaceutical public health measures	13
Key area 9: Essential services and business continuity	
Key area 10: Special groups and settings	15
Key area 11: After the pandemic – recovery and transition phase	15
Key area 12: International cooperation, coordination and interoperability	16
References and resources	17
General references	17
Key area 1: Framework for pandemic planning	17
Key area 2: Pandemic planning and response based on risk assessment	17
Key area 3: Coordination, command and control	
Key area 4: Risk communication	
Key area 5: Pandemic vaccines	
Key area 6: Antivirals and other essential medicines	19
Key area 7: Healthcare services preparedness	19
Key area 8: Non-pharmaceutical public health measures	19
Key area 9: Essential services and business continuity	20
Key area 10: Special groups and settings	
Key area 11: After the pandemic: Recovery and transition phase	
Key area 12: International cooperation, coordination and interoperability	

Figures

Figure 1. Key elements of the pandemic preparedness planning cycle	2
Figure 2. The continuum of pandemic phases	6

Table

Table 1. Example of stakeholder-representation in the national pandemic preparedness planning committee5

Abbreviations

AEFI	Adverse events after immunization
ARI	Acute respiratory infection
AV	Antiviral drugs
BCP	Business continuity plan
CDC	US Centres for Disease Control and Prevention
EC	European Commission
ECDC	European Centre for Disease Prevention and Control
ECMO	Extracorporeal membrane oxygenation
EEA	European Economic Area
EMA	European Medicines Agency
EU	European Union
EuroFlu	WHO Regional Office for Europe, influenza surveillance bulletin
EWRS	Early Warning and Response System
GISRS	Global Influenza Surveillance and Response System
HCW	Healthcare worker
ICU	Intensive care unit
I-MOVE	Influenza Monitoring Vaccine Effectiveness
NIC	National influenza centre
NITAG	National Immunisation Technical Advisory Group
NPI	Non-pharmaceutical intervention
NRA	National regulatory authority
SAGE	Strategic Advisory Group of Experts on Immunisation
UN	United Nations
VAESCO	Vaccine Adverse Event Surveillance and Communication
WHO	World Health Organization
WHOCC	WHO Collaborating Centre for Reference and Research on Influenza
WHO/Europe	WHO Regional Office for Europe
WISO	ECDC Weekly Influenza Surveillance Overview

Introduction

During the past decade, the 53 Member States of the WHO European Region, 31 of which are part of the European Union/European Economic Area, invested considerably in pandemic preparedness. This came in the wake of global threats posed by (re-)emerging diseases such as avian influenza A(H5N1) and A(H7N9), the SARS outbreak of 2003, and the outbreak of MERS (Middle East respiratory syndrome) which began in 2012. Adequate preparedness is also a national obligation under the International Health Regulations (2005) and the EU Decision on serious cross-border threats to health (No 1082/2013/EU).

The first pandemic since 1968 occurred in 2009, caused by a new strain of influenza A(H1N1) of swine origin. The virus spread rapidly around the globe and caused only mild disease in the majority of cases. However, severe disease and deaths occurred in a significant number of people, mostly in the same groups that are at risk of complications due to seasonal influenza infection, but also in other risk groups and even in previously healthy individuals. It has been estimated that in the first year of the pandemic between 151 000 and 475 000 deaths worldwide were attributable to influenza. Healthcare services, particularly critical care units, were often stretched to their limits, and early recognition and treatment of severe disease could be life-saving.

The 2009 pandemic tested national plans, and in the aftermath many countries and international organisations evaluated their preparedness and response activities. European countries, particularly in the western part of the Region, were generally better prepared for the 2009 pandemic than most countries. But when confronted with a milder pandemic than was expected, even the better prepared countries experienced gaps in their surveillance and healthcare systems. Their planning assumptions were not flexible enough, they faced difficult communications and logistics issues with respect to pandemic vaccines, and often failed to establish effective communication lines with front-line healthcare responders.

An evaluation performed by the WHO Regional Office for Europe in collaboration with the WHO Collaborating Centre for Pandemic Influenza and Research, University of Nottingham, United Kingdom, showed that pandemic preparedness activities undertaken prior to the 2009 pandemic were useful in the response to the pandemic, and guidance from WHO and ECDC was critical in the preparedness phase. However, a global review of the functioning of the International Health Regulations and the response to the pandemic by both countries and WHO came to the conclusion that the 'world is ill-prepared to respond to a severe influenza pandemic or to any similarly global, sustained and threatening public-health emergency.' The recommendations of this review have been only partially implemented, and the world has since been confronted with the failure to respond rapidly – and on the scale needed – to prevent the largest outbreak of Ebola ever recorded. As a result, the 69th World Health Assembly agreed to reform the WHO emergency response arrangements. It also agreed that the full implementation of the IHR core capacities by all Member States must be accelerated. In 2016, the new WHO Health Emergencies Programme was established (http://www.who.int/topics/emergencies/en/).

A future influenza pandemic is inevitable, although it cannot be predicted when it will happen nor how severe it will be. Since the stress on the non-healthcare sectors was limited during the 2009 pandemic, only limited experience has been gained in multisectoral coordination. Business continuity which will be crucial in a more severe pandemic. Earlier findings from European assessments and exercises show that there are still weaknesses in those areas. Since 2009, only thirteen countries in the WHO European Region have published revised pandemic preparedness plans (as of July 2017). This document therefore takes into account:

- the need for all countries to review and revise as necessary their pandemic plans based on the lessons learned from the 2009 pandemic and WHO guidance on pandemic influenza risk management (see: <u>http://www.who.int/influenza/preparedness/pandemic/influenza risk management_update2017/en/</u>);
- the need for continuous integration of pandemic preparedness with preparedness for other public health emergencies, in line with the International Health Regulations, Decision No. 1082/2013/EU, and in light of shrinking resources;
- the need to develop plans for different scenarios of severity with more emphasis on national risk assessment to inform pandemic response; and
- the need to revise the 'WHO/Europe and ECDC Joint European Pandemic Preparedness Self-Assessment Indicators' and develop a planning document that is useful to all Member States.

Why is pandemic preparedness planning important?

Influenza pandemics, whether mild, moderate or severe, affect a large proportion of the population and require a multisectoral response over several months or even years. For this reason, countries develop plans describing their strategies for responding to a pandemic supported by operational plans at national and subnational levels.

Preparing for an influenza pandemic is a continuous process of planning, exercising, revising and translating into action national and subnational pandemic preparedness and response plans. A pandemic plan is thus a living

document which is reviewed at intervals and revised if there is a change in global guidance or evidence-base; lessons learned from a pandemic, an exercise, or other relevant outbreak; or changes to national or international legislation related to communicable disease prevention and control (Figure 1).



Figure 1. Key elements of the pandemic preparedness planning cycle

Pandemic preparedness is most effective if it is built on general principles that guide preparedness planning for any acute threat to public health. This includes the following:

- Pandemic preparedness, response and evaluation should be built on generic preparedness platforms, structures, mechanisms and plans for crisis and emergency management.
- To the extent possible, pandemic preparedness should aim to strengthen existing systems rather than developing new ones, in particular components of national seasonal influenza prevention and control programmes.
- New systems that will be implemented during a pandemic should be tested during the inter-pandemic period.
- Adequate resources must be allocated for all aspects of pandemic preparedness and response.
- The planning process, implementing what is planned, testing and revising the plan in order for key stakeholders to familiarise themselves with the issues at hand, may be even more important than the pandemic plan itself.
- Pandemic response requires that business continuity plans and surge capacity plans be developed for the health sector and all other sectors that could be affected by a pandemic to ensure sustained capacity during a pandemic.
- The response to a pandemic must be evidence-based where this is available and commensurate with the threat, in accordance with the IHR. Planning should be based on pandemics of differing severity while the response is based on the actual situation determined by national and global risk assessments.
- Not all countries will be in a position to contribute to global risk assessment, nor conduct evaluations such as pandemic vaccine effectiveness. They must all have the capacity to access and interpret data for risk assessment provided by WHO, ECDC, and from other countries or sources.

Target audience, purpose and use of the guide

This guide is intended for use by those involved in pandemic preparedness planning, generic preparedness and implementation of IHR core capacities in European countries. The document describes good practice for pandemic preparedness planning based on lessons learned from the 2009 pandemic. It can be used to identify gaps in pandemic preparedness, improve plans, and advocate for and prioritise resources to close those gaps. It can be used to guide requests for support to ECDC, the WHO Regional Office for Europe, or other agencies and donors.

Description of the guide

Pandemic planning can be divided into 12 key areas. For each key area, the rationale and a list of good practice requirements for effective pandemic preparedness are provided.

For each key area, or requirement under a key area, countries may:

- add requirements, indicators or outcomes for determining if a key area or requirement has been covered or implemented, or if progress has been made;
- indicate changes that have been made to their pandemic plans after the 2009 pandemic;
- provide to the WHO Regional Office for Europe and ECDC examples of good practice which may be shared with other countries; and
- include questions to be addressed for each key area.

Twelve key areas of pandemic preparedness

Key area 1: Framework for pandemic planning Rationale

The purpose of developing national pandemic preparedness plans is to define, organise and coordinate the wide range of activities that are necessary to respond to a pandemic. A national pandemic plan is a strategy or framework that guides subnational and operational planning in the health and non-health sectors by identifying the areas that need to be addressed in pandemic preparedness. National pandemic plans vary from being a legal act or law in some countries to an internal planning document by the ministry of health in others.

Strategic planning at the national level is needed to identify and involve key stakeholders from all sectors and administrative levels and to lay out key components of the national response to a pandemic, informed by a range of realistic, risk-based planning assumptions which take into account that it is not possible beforehand to predict the severity or impact of a future pandemic. In order to maximise the flexibility, national responses should be based on national risk-assessments guided by global and European risk assessments which will allow different response measures to be implemented in different parts of the country at different stages of a pandemic.

At the time of the 2009 pandemic, although there were well-advanced national pandemic plans in many countries, operational plans were lacking at the subnational level or within different domains of the health sector, thus slowing down and weakening the initial responses. Since much of the operational response to a pandemic in a country takes place at the subnational level, well-developed pandemic preparedness plans at the subnational level and local operational plans – down to the facility/organisation level – are crucial. Border regions may also benefit from exchanging and coordinating pandemic plans.

Good practice for strategic planning

- Political commitment and dedicated, sustained government funding for the development, endorsement and publication of the pandemic plan and its subsequent implementation.
- A national pandemic plan based on clear planning assumptions that serves as a strategic framework and guidance for national and subnational operational planning.
- A national planning committee representing relevant stakeholders from the health and non-health sectors (Table 1 provides a sample list of stakeholders) established by the government which meets regularly to develop and update the national pandemic preparedness plan, coordinates the strategic and operational planning process and ensures there are working links between the pandemic preparedness plan and generic preparedness or civil protection plans.
- Realistic and coordinated national and subnational pandemic plans that were produced by involving subnational representatives in national planning (and national representatives in subnational planning), and a mechanism to maintain regular communication between national and subnational level planners.
- National and subnational plans that define the roles and responsibilities of relevant stakeholders that would be involved in the pandemic response (authorities, nongovernmental organisations, private sector participants, experts to provide advice, etc.).
- Pandemic plans that include planning assumptions based on a range of possible scenarios that consider different response measures that can be tailored to the actual situation during a pandemic and that provide triggers for the activation/de-escalation of specific measures.
- Pandemic plans that include methodologies and protocols to evaluate the response to a pandemic (assessment of the measures applied to mitigate the effects of the pandemic, coordination, etc).
- There is a legal framework for the deployment of pandemic response measures, e.g. regulatory approval and procurement of pandemic vaccines and other pharmaceutical countermeasures, social distancing measures, emergency release of budget.
- Pandemic plans that take into account ethical aspects, such as prioritisation of clinical treatment or vaccine, provision of pandemic vaccine to healthcare workers, handling of conflicts of interest, possible infringement of basic human rights in pandemic response, extent of medical professionals' duty of care.

Table 1. Example of stakeholder-representation in the national pandemic preparedness planning committee

Healthcare sector	Other sectors	
 National and regional health authorities (includes public health) National influenza centre and its epidemiological counterpart National expert advisory group National immunisation technical advisory group National drug regulatory agency Medical professional associations representing doctors and nurses, e.g. ICU physicians, paediatricians, gynaecologists, etc. Representatives from the association of healthcare workers and general practitioners Pharmacists Scientists Pharmaceutical/vaccine manufactures Subnational level representatives 	 Department or ministry responsible for civil emergency response Social services administration Ministry responsible for education Representatives from water and power plant associations Representatives from the transport sector Media relations experts/risk communication specialists Representatives from the business and financial sectors Nongovernmental and community-based organisations, e.g. Red Cross, Red Crescent, health charities Ministry of agriculture and the veterinary sector Local government bodies 	

Good practice for effective operational planning and implementation of pandemic plans

- Operational planning at subnational and local levels (operational plans) based on national strategies and planning assumptions that serve as a framework, guidance and unifying basis.
- Operational plans based on templates provided by the national level that include performance criteria
 allowing peer reviews or audits of subnational plans against the national pandemic plan, especially to
 ensure multisectoral and vertical interoperability of plans.
- Operational plans that have a number of components, for example healthcare facility plans, business
 continuity plans for the health and non-health sectors, communication strategies, vaccination plans, and
 logistics plans.
- Operational plans that clearly define responsibilities in pandemic response at subnational and local levels and are developed with input from healthcare facilities and essential businesses in the geographical area covered.
- Operational plans that are coordinated with those of neighbouring regions or municipalities.
- Operational plans whose implementation includes communicating the plan to responders and other stakeholders at national and subnational levels, conducting pandemic simulation exercises to test strategic and operational responses, also with neighbouring countries, training staff etc.
- Communication channels between operational planners at national, subnational and local levels.

Key area 2: Pandemic planning and response based on risk assessment

Rationale

Pandemic plans describe the actions and measures that would be implemented during a pandemic to reduce morbidity and mortality. The data and information that are needed to determine which measures should be implemented and when will be obtained by assessing the overall risk of the pandemic by assessing severity and groups at risk of severe disease, as well as overall impact on the population, health services and other essential services.

One of the main lessons learned from the 2009 pandemic was that the six global WHO phases were inadequate as triggers for national response actions due to the different timing of the pandemic in different parts of the world and different severity in different countries. In addition, many pandemic plans based the response on a single scenario which was more severe than the 2009 pandemic, and response strategies had to be adjusted. In particular, countries that changed their pandemic vaccine strategies (e.g. from total population coverage to vaccinating risk groups only) encountered difficulties in rapidly implementing new deployment strategies. Pandemic plans that were triggered in this way by the WHO phases were considered to be inflexible.

The 2017 WHO guide on pandemic influenza risk management takes this into account: there are four phases which are not linked to specific actions at the country level (Figure 2), and countries are encouraged to conduct their own risk assessment.

Figure 2. The continuum of pandemic phases



Source: 2017 WHO guide on pandemic influenza risk management

The four phases are the interpandemic phase (the period between influenza pandemics), the alert phase (when influenza caused by a new subtype has been identified in humans [see reference to WHO case definitions on page 18, last entry under key area 2], e.g. the emergence of avian influenza A(H7N9) in 2013), the pandemic phase (the period of global spread of human influenza caused by a new subtype) and the transition phase (when the global risk drops and reductions in response activities may be appropriate, see key area 11). Global risk assessments will determine in which phase we are, based on virological, epidemiological and clinical data. Depending on the risk assessment, WHO may convene an Emergency Committee under the IHR, which may issue temporary recommendations to Member States. The Director General of WHO can declare a Public Health Emergency of International Concern (PHEIC) under Article 12 of the IHR.

Good practice for an effective risk assessment-based approach to pandemic planning

- Countries conduct mapping of all (potential) threats in order to prioritise resources for pandemic preparedness.
- Pandemic plans based on planning assumptions, i.e. different scenarios of intensity, severity and impact based on expected clinical attack rate, infectiousness, number of children and adults requiring primary, hospital and higher-level (intensive) care per unit of population, death rate, and the peak percentage of the workforce unavailable to work. WHO is developing a pandemic influenza severity assessment methodology which can be used for this severity and impact assessment.
- Pandemic plans that include options for response but allow for the planned response measures to be implemented in a flexible way. Measures can be implemented at different times (depending on local differences in the timing, spread and peak of the pandemic), can be adjusted, can be postponed, or can be cancelled. Unplanned response measures can be implemented, depending on the actual severity and impact of the pandemic.
- Risk assessments are conducted throughout the pandemic and integrated with communication plans and the decision-making process for the pandemic response.
- Risk assessments consider apart from the public health risks the social and economic risks and weigh the consequences of action versus non-action. This includes interpretation of data from the countries that were affected first and that will inform the response as well as national data collection needs.
- Risk assessments consider impact (on risk groups, healthcare capacities and essential public services) and
 effectiveness of countermeasures, both medical (e.g. influenza-specific antivirals, antibiotics and pandemic
 vaccines) and non-pharmaceutical public health measures (e.g. social distancing, personal protective
 equipment, hygiene measures).
- Mathematical modelling to determine the basic reproductive number R₀, serial interval, estimated case fatality rates and other parameters as needed in countries that have the capacity to do so.

Good practice for effective risk assessment and surveillance during a pandemic

Investigating individual cases and clusters early in the response

- Early warning systems and event-based surveillance (national epidemic intelligence capacity) to detect and
 assess the risk of a pandemic coupled with the capacity to communicate this to experts and decision makers
 in a timely fashion.
- Outbreak response teams, procedures and laboratory capacities in place to swiftly conduct investigations.

- A system to rapidly implement the national notification of cases in order to detect the first cases in a country.
- Investigation of the first cases and their contacts, especially in the countries that are affected first; investigation should focus on determining transmission characteristics, basic reproduction number, clinical symptoms, severity, and characteristics of the virus. These factors differ from pandemic to pandemic and determine operational decisions, e.g. the use of antiviral stockpiles among groups experiencing the most transmission and the most severe disease.
- Investigation includes seroepidemiology in countries that have this capacity.
- National influenza centres share influenza viruses with WHO Collaborating Centres for Reference and Research on Influenza throughout a pandemic, but particularly in the early stages (for risk assessment purposes and timely vaccine development). This is part of their obligations to the Pandemic Influenza Preparedness (PIP) framework and the WHO Global Influenza Surveillance and Response System (GISRS).

Surveillance when a pandemic becomes widespread

- There are routine influenza surveillance systems based in primary healthcare facilities (acute respiratory infections and influenza-like illness) and hospitals (severe acute respiratory infections, laboratory-confirmed influenza cases) that provide information on trends and patterns of disease, namely the spread, affected age groups, risk factors, virus characteristics, magnitude, and severity of the epidemic compared with previous seasons pandemics.
- Countries that do not have a surveillance system for severe/hospitalised cases have developed and tested a plan for rapid implementation during a pandemic or rely on data from other countries.
- Countries that will establish alternative systems, such as telephone or web-based surveillance, have tested them beforehand.
- Surveillance units have discussed beforehand with decision makers the information that should be provided and have been allocated adequate resources and manpower to do so during a pandemic. Reporting of surveillance data to WHO and ECDC is sustained throughout the pandemic.
- Off-the-shelf research protocols with prior ethical and review board approvals implemented in order to study severity of disease, transmission patterns, effectiveness of pharmaceutical and non-pharmaceutical countermeasures, etc.

Laboratory testing

- National influenza centres (NICs) have the capacity to rapidly implement assays for emerging influenza viruses to be able to detect early cases in a pandemic and conduct risk assessments. The current gold standard for influenza detection is RT-PCR.
- The influenza laboratory network in a country may consist of public health and/or clinical laboratories that can, supported by the NIC, quickly establish testing of the new virus in a pandemic.
- A system to transport samples to the NIC in a timely and safe fashion.
- Defined roles and responsibilities of the laboratory network for the different stages of a pandemic with respect to testing for surveillance purposes versus clinical diagnosis of patients.
- Once infections with the pandemic virus become widespread, laboratory surveillance focuses on testing of sentinel samples, monitoring the antigenic and genetic properties of viruses, and antiviral susceptibility by characterising a representative sample of viruses.
- Countries may opt to confirm a subset of cases for surveillance purposes, e.g. all deaths due to pandemic influenza, or a subset thereof.

Key area 3: Coordination, command and control

Rationale

An influenza pandemic is a complex emergency of uncertain severity and long duration. It involves health and nonhealth sectors at all administrative levels, and it impacts the entire society and different actors at different stages. For example, the animal health sector will be involved during the alert phase if a new influenza virus is detected in animals but will have less of a role if the virus gains the ability to transmit efficiently among humans. Therefore, in a pandemic as well as in other major health crises, it is important that a structure for coordination and chain of command exists.

Good practice for effective coordination, command and control in a pandemic

- A robust and operational crisis management structure with adequate health emergency operations/crisis management infrastructure including IT and telecommunications equipment and software.
- A business continuity plan for the crisis management structure.
- Standard operating procedures to ensure implementation of response actions.

- Committed representation of the relevant ministries and departments/agencies in the crisis management structure which is linked to technical and decision-making levels.
- Lines of command and control are based on existing structures and mechanisms and are described in the pandemic plan. The plan anticipates how these may change during the response according to the actual severity and impact of the pandemic.
- All those that will be involved in the response are aware of their tasks and responsibilities, familiar with each other and with the pandemic plan, and have received training where necessary.
- The pandemic plan defines coordination between sectors and different administrative levels.
- Lines of communication within the crisis management structure and with relevant outside stakeholders and sectors have been practiced to ensure a coordinated response.
- Information and data requirements for decision-making have been defined in advance, based on the data that are expected to be available (see key area 2).
- Mechanisms are in place for the regular monitoring of capacities in the healthcare sector (primary, secondary and higher level care), stockpiles, use and distribution of medical countermeasures (antivirals, vaccines, antibiotics, equipment for mechanical ventilation and oxygenation) and other supplies.
- Two-way communication channels are in place from the national to local level and vice versa in order to
 disseminate information effectively and for the crisis management structure to receive feedback which
 resources are available and where support is needed.

Key area 4: Risk communication

Rationale

Risk communication is a key public health tool in pandemic planning and in the response to a pandemic because it aims to establish public and professional confidence and trust. Lessons learned during the 2009 pandemic highlighted difficulties that public health authorities had in communicating scientific uncertainty and special attention needs. Risk communication is targeted to the public but also to key stakeholders and responders like health workers, to continuously update them about the evolving situation as well as about interventions, in particular the pandemic vaccine.

Good practice for effective risk communication in a pandemic

- A communication strategy describing how communication will be conducted in a pandemic, i.e. how to
 disseminate information rapidly during a pandemic and which information should be disseminated when and
 by whom.
- The communication strategy is tailored to different groups (stakeholders in different sectors and administrative levels, the public, healthcare workers, media, etc.) and addresses the type of messages needed at different stages of a pandemic. For example, after the first reports of an emerging pandemic virus, the following may be communicated: a first assessment of severity, reports about the first cases in the country, reports when infections become widespread, information on which groups are affected most, reports on the first deaths in a country, or a declaration of a Public Health Emergency of International Concern by WHO.
- The communication strategy takes into account behavioural aspects of how people react and act on advice and information received, both from authorities but also from other sources (mass and social media).
- Risk communication during a pandemic is informed by evidence that is consistent from different authorities, across sectors, and at different organisational levels.
- The mass media are informed about the communication strategy and there are regular press conferences planned during the response phase in order to channel the information disseminated by the media to the general public.
- A spokesperson within the ministry in charge of the response has been appointed to conduct regular press conferences during a pandemic. An alternate spokesperson is available should the lead ministry change.
- Communication materials and distribution channels that have been tested in the interpandemic period (e.g. information about pandemic vs. seasonal influenza, personal protective measures, etc.).
- Methods (such as population surveys, focus groups) for regularly monitoring the perception and opinions of the public and healthcare workers during a pandemic in order to enhance interpretation and understanding of the messages and to guide the risk communication efforts.
- The communication cycle will include situational awareness, achieved with epidemic intelligence using media monitoring techniques and targeted communications for public health professionals.

Key area 5: Pandemic vaccines

Rationale

During a pandemic, countries will endeavour to vaccinate a large number of people within a short time period. Using current technology for producing inactivated egg-based or culture-based influenza vaccines, it will take about six months from the start of a pandemic to develop, manufacture and deliver the first batches of a vaccine, which then has to be delivered and distributed to vaccination sites. These sites may be the same sites as for seasonal influenza vaccination or sites set up for mass vaccination. How the pandemic vaccine is delivered will be partly determined by whether countries decide to offer the vaccine to the whole population, to risk groups only (which may differ from those for seasonal influenza), health workers and/or other essential workers, and how delivery to these target groups is prioritised.

Good practice for effective use of pandemic vaccines

Pandemic vaccine deployment plan

- Seasonal influenza vaccination programmes are a framework upon which pandemic vaccination strategies are based. This includes the role of national regulatory authorities (NRA) and national immunisation technical advisory groups (NITAGs) that determine risk groups, cost effectiveness and vaccine effectiveness, regulatory issues, communication strategies, monitoring and reporting of adverse events.
- A pandemic vaccine deployment plan that includes a strategy, or strategies, for procurement, distribution, storage and policy for use of pandemic vaccines during a pandemic. This plan should have been rehearsed through simulation exercises.
- The strategy considers destruction of remaining pandemic vaccine stocks when the pandemic is over.
- The strategy is flexible in that it considers different options for pandemic vaccination (whole population, risk groups only, essential workers only) which will be implemented or adjusted according to the actual severity of the pandemic. It also considers what to do if excess vaccine becomes available, e.g. donation to WHO and/or countries in need.
- The strategy takes into account ethical and equity issues: safety (risk–benefit), priority groups (vaccinating non-health sector essential services staff versus healthcare workers versus risk groups), prioritisation within priority groups when supplies are low, mandatory vaccination for healthcare workers, etc.
- The actual number of doses, the communication strategies and the mode of delivery (mass vaccination campaign versus using existing mechanisms for seasonal influenza vaccination) are determined by the severity of the pandemic.
- Authorities have considered how groups not normally vaccinated during seasonal influenza will be offered the vaccine, e.g. universal vaccination of children and first responders.
- The process of making decisions on the procurement and delivery of pandemic vaccines, using different scenarios, has been rehearsed, e.g. by an exercise involving the ministry of health and other ministries, departments and stakeholders such as the NRA, NITAG, vaccine manufacturers, and the authority responsible for adverse event monitoring.
- Countries that have advanced purchase agreements with vaccine manufacturers have considered the timing of activation of the contract which will rely on the national as well as the global risk assessment.
- Contracts may be developed as part of a coordinated approach involving multiple countries, e.g. the joint procurement of medical countermeasures for EU countries described in Decision No 1082/2013/EU.
- The pandemic vaccine strategy takes into account that the vaccine will probably be available in smaller batches initially, and that prioritisation within the groups that are going to be targeted for vaccination – based on a risk assessment – is necessary.
- As part of the pandemic vaccination campaign and to generate confidence/acceptance in the newly
 developed vaccine, risk communication will be carried out among target groups (in particular among those
 groups that are not normally targeted for seasonal influenza vaccination).
- Staff that will administer the vaccine have been identified and trained, taking into account different scenarios, e.g. a mass vaccination campaign has different training requirements compared to targeting risk groups, in which case it may be possible to employ the same mechanism used to vaccinate against seasonal influenza.

Regulations and monitoring

- Countries improve the process behind vaccination choices and decisions by strengthening NRAs and NITAGs and ensure they have access to rapid scientific and expert advice for evidence-based strategic decisions.
- Expert panels have been identified and members have public declarations of interest to avoid jeopardising the integrity of the advisory bodies they are supporting.
- Countries expecting to be eligible to receive vaccine donations (e.g. from another country or through WHO) have considered the regulatory issues beforehand to not delay the donation.

- Countries are aware of the rapid authorisation pathway available in the EU, which is designed specifically for pandemic vaccines to minimise the administrative delay of introducing a new vaccine, namely the preapproval by the European Medicines Agency (EMA) of vaccines based on a mock-up model of H5N1 vaccine to be rapidly adapted to a newly emerging pandemic strain.
- There is reciprocal approval between countries in an emergency, e.g. the national regulatory authority (NRA) of a country outside the EU may adopt EMA approval, which is valid in all EU Member States, or the NRA of a country may adopt approval bilaterally.
- If vaccination is to be provided by staff that do not normally do so (e.g. nurses), the required competencies have been defined and training has been provided.
- A system for monitoring vaccine uptake and adverse events following immunisation (AEFIs), e.g. VAESCO.
- Mechanisms for monitoring the effectiveness of pandemic vaccines. Currently, the I-MOVE project monitors seasonal influenza vaccine effectiveness in Europe.

Communication and information

- Communication strategies tailored to different target groups, e.g. professionals (healthcare workers) and the public, that address questions about vaccine effectiveness and adverse events, some of which have been predicted and prepared beforehand.
- Attitudes and beliefs are monitored during a pandemic.
- The use of social media to deliver messages related to pandemic vaccines.
- Communication strategies have been tested by conducting an exercise based on an unforeseen crisis, e.g. rehearse the communication aspects of a scenario whereby serious side effects are encountered.
- Communication strategies utilise information from WHO (e.g. SAGE recommendations on risk groups for vaccination), ECDC, the EMA (e.g. information on pharmacovigilance data), and other Member States through the IHR, EWRS or other regional platforms.

Key area 6: Antivirals and other essential medicines

Rationale

During a pandemic, it is expected that the number of both severe and mild cases of influenza will increase compared with seasonal influenza. This may lead to shortages of influenza-specific antiviral drugs (AV), specifically neuraminidase inhibitors. The use of AV complements other medical and non-medical measures that may be implemented and for which it is necessary to stockpile supplies. Examples include infection control materials, antibiotics and other essential medicines. There is no set formula for the size of stockpile required as this depends on the strategy adopted by the country and the market availability of supplies. This in turn depends production capacity and the extent to which there is regular use of AV during seasonal influenza. During the 2009 pandemic, antiviral manufacturers were able to increase production, and thus the necessity for using the AV stockpiles was less critical.

Good practice for effective use of antivirals and other essential medicines in a pandemic

Planning

- A strategy for the procurement, storage, distribution and policy for the use (including paediatric use) of AV during a pandemic.
- The AV strategy is flexible in that it describes different options for use in accordance with different scenarios of severity of a pandemic; it is understood by all stakeholders that the strategy may be adjusted during a pandemic in accordance with a national risk assessment.
- The strategy includes a provisional priority list for the choice of AV, or combination AV, and prioritisation during different stages of a pandemic in accordance with different scenarios of severity.
- The strategy considers the establishment of a stockpile of AV for use in a pandemic. The most effective stockpile is a rolling stockpile, i.e. one that is used routinely during seasonal influenza and replenished. AV use in a pandemic will be under government control, e.g. by prescription from general practitioners, pharmacies, or at collection points.
- The strategy includes how to deal with AV stockpiles that are unused after a pandemic, by describing use during seasonal influenza, or possible extension of the expiry date and later destruction of unused stockpiles.
- The increased need for other medicines or techniques, notably antibiotics and ECMO, are included in the plan (see also key area 7).
- Countries that may be eligible to receive donations of AV and/or other essential medicines from other countries or organisations have developed an essential medicines list and addressed regulatory issues in order not to delay the donation.

- AV may be purchased through contracts developed as part of a coordinated approach involving multiple countries, e.g. the joint procurement of medical countermeasures for EU countries described in Decision No 1082/2013/EU.
- An alternative therapeutic strategy in case of reduced susceptibility to neuraminidase inhibitors.

Prioritisation and use of AV

- AV are stockpiled centrally or have been distributed to local stocks across a country before a pandemic. Mechanisms are in place to distribute AV rapidly when a pandemic is declared, and this has been tested by an exercise.
- For countries whose AV supplies or stockpile are insufficient to treat all cases of pandemic influenza, the first priority is to treat persons with severe disease.
- Use of AV to delay spread or reduce transmission and peak sickness rates, or for long-term prophylaxis for healthcare workers, has been considered if the pandemic is severe and/or during the early stages of a pandemic when vaccines are not yet available.
- AV guidelines recommend initiation of treatment as early as possible and preferably within 48 hours of symptom onset, based on clinical judgement alone. There is no case for delaying treatment pending laboratory confirmation. Initiation of treatment of severe cases is also considered after 48 hours from symptom onset.
- The use of AV does not replace other basic life-saving strategies, such as monitoring for vital signs and supportive therapy, particularly oxygen.

Monitoring AV use and surveillance for AV resistance

- A mechanism for monitoring the national AV stockpile.
- Countries that plan to use AV in a pandemic have mechanisms to rapidly detect and monitor signals indicating adverse events or side effects associated with their use.
- Surveillance to rapidly detect and assess signals indicating the emergence and spread of antiviral resistant
 pandemic influenza viruses, or rapid shipment of viruses to a Collaborating Centre for Reference and
 Research on Influenza (WHO CC) is in place.

Key area 7: Healthcare services preparedness

Rationale

The aim of preparing the healthcare services for a pandemic is to ensure the continuation of regular and emergency services while providing appropriate clinical care for cases of pandemic influenza, whether these present to primary healthcare, are hospitalised or admitted to critical or intensive care units (ICUs). Appropriate clinical treatment will reduce morbidity and mortality and thus mitigate the effects of the pandemic.

During a pandemic, primary and secondary healthcare facilities will experience a significant increase in the number of respiratory patients (in addition to the usual number of ill persons) while healthcare workers will also become ill and so be absent from work. There will be an excess demand for healthcare services with potentially fewer healthcare workers to deliver these services. In addition to limited staff, other resources will be stretched, including beds, medicines and mechanical ventilators, and this may last for several months. Hospitals may face a situation where it is necessary to discharge non-critical patients (pandemic and non-pandemic) to free up resources for severely ill patients and to cancel planned non-urgent treatments.

The 2009 pandemic showed that even during a relatively mild pandemic, healthcare services may easily be overwhelmed. Hence, health services preparedness planning is vital regardless of the severity of the pandemic in order to be able to continue services when capacities are exceeded.

Good practice for effective healthcare system response in a pandemic

Planning

- Healthcare services planning should be based on national assumptions as to the estimated numbers of deaths and the number of cases requiring primary, secondary and higher level care – in relation to local demographics and in accordance with different scenarios of severity.
- Plans that include provisions on how to increase critical care capacity/respiratory support.
- Plans that take into account the need for cross-referral between healthcare facilities in the country or region.
- Local plans that encompass all health and social care services, both public and private, e.g. conventional, long-term, acute care, and care by community-based organisations. This should ensure that there is harmonised care and coordinated transfer of patients.
- Healthcare services response planning that involves representatives of professional organisations (covering family doctors, hospital and ICU physicians, occupational health, social care workers, etc.).

- Health system preparedness exercises performed at the geographical level, i.e. covering the area that a health authority or facility serves, that address large numbers of people seeking care with potentially increased numbers needing higher levels of care than normal.
- All healthcare professionals are briefed with respect to pandemic preparedness arrangements in their facility, their obligations, responsibilities and rights.
- Business continuity plans are available for key healthcare providers and public health stakeholders.
- Financial issues related to the referral of patients (ambulance, testing, care provided, etc.) have been considered and the necessary arrangements made.

Surge capacity and monitoring of resources

- As part of general healthcare services management, countries have an inventory of existing capacities, including both public and private healthcare facilities, number of hospital beds, ICU beds, equipment and medicines for the treatment of severe cases, staffing and options for surge capacity (e.g. retirees, medical students, etc.).
- Plans for surge capacity that estimate the capacities required to deal with pandemics of different severities and thus numbers of severe cases. There are indicators for filling or exceeding existing capacity and for triggering arrangements to increase capacity at the local/healthcare facility level.
- Plans for surge capacity based on different scenarios of severity *and* local differences in the timing of the pandemic which may peak at different times compared with the national level.
- Plans that include the care of non-influenza acute/chronic patients and provisions for cancellation of elective surgery.
- Provisions to ensure that clinical diagnostic laboratory services (for haematology, biochemistry, immunology etc.) are maintained for pandemic cases and other priority patients.
- Adequate plans for handling larger numbers of deaths than usual.
- In addition to healthcare authorities, individual healthcare facilities have plans to manage surges of patients for a sustained period of time, taking into account the 2009 experience of some patients requiring longer periods of care (for example patients with acute respiratory distress syndrome).
- The legal and financial requirements to recruit a reserve workforce, e.g. retirees, students, nurses performing additional tasks normally allocated to clinicians, and to pay existing staff overtime, have been planned for.
- There is a national (electronic) system to monitor in real-time healthcare capacities and resources. As a minimum, health authorities have planned to have regular contact with the healthcare services to monitor the situation and needs throughout a pandemic, e.g. by means of weekly teleconferences.

Clinical management of patients

- Guidelines for the clinical management and infection control aspects of severe acute respiratory infections associated with influenza (seasonal, zoonotic, or pandemic) or other respiratory infections developed by health authorities in collaboration with relevant professional organisations.
- There is a mechanism to review and adjust these guidelines during a pandemic, according to the characteristics of the new influenza virus, severity of illness, and the groups at risk of severe disease. They include triage, the use and prioritisation of AV in patients, and AV prophylaxis for healthcare workers in different settings (e.g. ICUs).
- The clinical management guidelines can be made rapidly available to all staff in all healthcare facilities during a pandemic.
- Treatment of patients is on the basis of clinical assessment alone rather than awaiting laboratory confirmation for pandemic influenza. This is particularly important when considering the use of AV (see also key area 6).
- The administration of AV to patients is informed by surveillance that monitors for the emergence of AV resistance in the pandemic virus.
- Off-the-shelf research (including clinical trial) protocols with prior ethical and review board approvals implemented in order to study severity of disease, transmission patterns, effectiveness of pharmaceutical and non-pharmaceutical countermeasures, etc.

Protection of healthcare workers

- Plans at healthcare facilities include modifications to standard infection prevention and control procedures that may be required in a pandemic, according to the severity and transmission characteristics of the virus.
- Plans at healthcare facilities include provisions for personal protective equipment, a plan for providing healthcare workers (HCW) with AV, and prioritisation of HCW for receiving pandemic vaccine.
- Healthcare facilities have policies and procedures in place should HCW get sick or need to care for sick family members. HCW who are reluctant to come to work during a pandemic will be encouraged to do so by providing proper protective measures, by making arrangements to allow them to care for sick family members, etc. The ethical aspects of staff refusing to work will be discussed with HCW as part of pandemic preparedness planning.

• The psychological well-being of HCW has been considered and the needs for support during a pandemic have been planned for. HCW know beforehand where they can obtain information and support during a pandemic.

Laboratory testing for clinical purposes

- In the early stages of a pandemic it is desirable to test all cases for both risk assessment and clinical management purposes.
- When infections are widespread, testing of a subset of mild and severe cases should suffice for risk assessment and surveillance purposes, but throughout the pandemic depending on resources countries may strive to laboratory-confirm all fatal cases and possibly also severe cases, e.g. those requiring critical care. The results of testing performed for clinical purposes can also contribute to risk assessment and surveillance and should, where possible, be coordinated by the NIC.
- The treatment of patients with symptoms of respiratory illness, whether mild or severe, is initiated based on clinical judgement alone, without waiting for laboratory test results. This includes supportive treatment, such as the administration of oxygen, as well as AV.
- Clinicians request testing of all patients that do not react to AV to determine if the cause is a pathogen other than pandemic influenza or if resistance to the AV has developed.
- Testing is performed for infection control purposes if needed to ensure that infected patients are placed in the correct section of a healthcare facility.
- Testing for clinical management purposes and for secondary bacterial infections is performed in situ, i.e. by the hospital laboratory. If this is not possible, arrangements are in place with another laboratory.
- All laboratories that test clinical specimens from patients suspected of infection with the pandemic virus have established appropriate biosafety procedures in accordance with their own risk assessment and refer to national and WHO guidelines.

Communication with clinicians, information sharing among clinicians

- During the preparedness phase, medical professional groups and HCW have been informed how information will be provided in a pandemic, e.g. through a dedicated website, professional medical associations etc.
- Mechanisms have been tested to rapidly inform medical professional groups and HCW about the situation, risk groups for severe disease as soon as they are known, and up-to-date guidance and/or practice notes for the clinical management of patients.
- This communication channel includes information on decisions taken at national or subnational levels on measures to be implemented and on any changes in the response, e.g. whether confirmed cases are notifiable, where patients should seek care, updates to guidelines for clinical care, laboratory testing, etc.
- Communication channels have been tested and a range of decisions has been exercised before a pandemic to determine the feasibility of implementation of the proposed measures and increase the likelihood of timely implementation of measures in an actual pandemic.
- A network or platform for frequent and rapid exchange of information and experience among clinicians, nurses, public health laboratories, public health authorities, and healthcare services authorities on the clinical management of severe cases and on healthcare facility needs when resources are stretched. Such networks can also be utilised during a severe seasonal influenza epidemic.

Key area 8: Non-pharmaceutical public health measures

Rationale

Non-pharmaceutical interventions (NPIs) may help reduce transmission of the pandemic virus and may be especially important in the first months of a pandemic when there is no pandemic vaccine available and in countries with limited antiviral stockpiles or critical care capacities. Implementation of any public health measure requires planning and proper communication and often this involves multiple sectors. Countries should consider the recommendations provided by WHO and EU authorities in the event of a pandemic and the measures implemented should be commensurate with the IHR (2005), and, for EU Member States, coordinated under the provisions of Decision No 1082/2013/EU, whether they pertain to international travel and trade, personal protection or social distancing. It should be noted that the evidence-base for the effectiveness of many NPIs is weak. This, as well as acceptability, feasibility and legal framework for implementation differs between countries and should be taken into account in national planning.

Good practice for effective public health measures in a pandemic

• A national list of NPIs based on evidence, international guidance and best practice – all likely to be effective and feasible for the setting/country – are included in the pandemic plan. Their implementation and timing depends on the actual situation and severity in a pandemic. The main goals of NPIs are to delay and reduce the number of cases and severe or fatal outcomes.

- As a minimum, the public will be informed about the measures they can take to protect themselves and others from getting ill, i.e. by applying universal hygiene measures, such as frequent handwashing and cough etiquette. Such information is part of seasonal influenza campaigns and is re-emphasised in a pandemic.
- Social distancing measures, including closure of schools, pre-schools and other educational institutions, banning of mass gatherings, adjusted working patterns, or advising contacts of cases to reduce their social interactions, are considered.
- Advice for travellers is given.
- There are mechanisms for communicating with those who will implement the measures and those that will be affected, e.g. parents and teachers by school closures, and both the mechanisms and messages have been tested.
- The benefit–cost ratio and feasibility of NPIs has been calculated and assessed in advance.
- The legal and ethical ramifications and effects of NPIs have been taken into account in planning and appropriate risk mitigation strategies have been considered.
- The scientific evidence for the effects has been weighed against socio-political considerations and their negative impact.
- The pandemic plan identifies triggers that determine when a particular measure will be implemented and terminated.
- Mechanisms for monitoring the effects/effectiveness of NPIs have been identified.
- Off-the-shelf research protocols with prior ethical and review board approvals implemented in order to study the effectiveness and response to non-pharmaceutical countermeasures.

Key area 9: Essential services and business continuity

Rationale

A severe pandemic has the potential to affect all sectors due to a significant number of people in the population falling ill or dying or simply being too worried to come to work. Even a pandemic of mild severity may affect other sectors than health. High workplace absenteeism will affect the functioning of businesses and services. Some businesses or services are essential for society (e.g. water supply, electricity production, waste management, law enforcement), and it needs to be ensured that these services continue to function during a pandemic. Therefore, relevant authorities need to ensure that essential services develop operational business continuity plans, which also cover an influenza pandemic. This planning requires guidance and support from national and regional levels. Business continuity planning is important for many emergencies and should not be seen in isolation from other resilience planning, but pandemics differ from other hazards as they may last for many months and therefore specific planning for business continuity during pandemics is necessary.

Good practice for effective business continuity planning in a pandemic

- Business continuity management requires interministerial and multisectoral coordination, e.g. for workers' rights and obligations, and has been considered by all sectors.
- Business continuity is particularly important for the ministry of health, key public health agencies and major health facilities, as well as other essential public and private services, public authorities and corporations, private sector stakeholders and community-based organisations.
- Business continuity planning is supported by including a list of essential public and private services in the national pandemic preparedness plan that has been agreed across government. These services have been prioritised through a sectoral risk and impact assessment, national ethics committees, and relevant stakeholders.
- Guidance and formal frameworks for business continuity plans (BCPs), evaluations and audits, have been
 provided by the government. All BCPs are guided by the nationally adopted planning assumptions and
 strategies (pharmaceutical and non-pharmaceutical interventions, etc.).
- BCPs developed by the identified essential services include roles and coordination mechanisms among sectors and have been tested. This will ensure that there is appropriate interoperability across public and private sectors and services.
- Business continuity planning for essential public and private services and private businesses occurs both at local and international levels and BCPs interlink with national plans.
- The identified essential services, and first and foremost the healthcare sector, are prioritised in the national pandemic planning process to be among the first to benefit from medical interventions and NPIs in order to protect the adequate functioning of these services during a pandemic.
- BCPs prepare for a long-term crisis (especially for human resources), make provisions for staff to have time off during the response, and make sure there is continuation of usual tasks by some staff.

- BCPs consider the legal frameworks and insurance issues surrounding business continuity, e.g. compulsory measures to reduce infection.
- BCPs consider the role of community-based groups in, for example, awareness raising and surge capacity and identify methodologies for non-profit organisations to support vulnerable groups during a pandemic.

Key area 10: Special groups and settings

Rationale

There are some groups in society that require special attention and consideration in preparedness planning as they have specific needs in terms of language or means of communication. These groups need to be identified and their particular needs should be determined during the preparedness planning process. Groups, facilities and institutions include:

- Prisons
- Schools for children with learning or other disabilities
- Psychiatric patients
- Residential homes
- Migrants and persons in transit
- Asylum seekers
- Homeless people
- Community-based organisations
- Others as appropriate

Good practice for effective inclusion of special groups in pandemic preparedness

- Prioritisation and inclusions of special groups have been broadly discussed at the national level as part of the planning process. These discussions should include ethical considerations.
- Consider if special strategies or response measures need to be developed for certain groups in society, e.g. if information material in a minority language needs to be developed.
- Test the strategies or measures on the specific groups, as part of the pandemic planning cycle.

Key area 11: After the pandemic – recovery and transition phase

Rationale

Pandemics do not end overnight. A pandemic virus may cause several waves of severe epidemics worldwide over the following years before continuing to circulate as seasonal influenza. The first post-pandemic winter season may be worse than the actual pandemic. This happened in some European countries in 1968–70 and 2009–2011. The workload for responders and stakeholders can remain high after the first epidemic wave, due to evaluation of the response, recovery, and scientific and administrative assessment procedures. The decision on when a pandemic is over is likely to be just as complex as the decision to announce a pandemic.

Good practice for effective recovery and transition

- The pandemic plan incorporates recovery and transition activities to facilitate the return to 'normal' seasonal influenza activities after a pandemic.
- Recovery and transition includes replenishment of stockpiles (medicines, equipment, etc.)
- The effectiveness of all implemented response measures should be evaluated, as should the overall response. Results from evaluations should be used to revise the national pandemic plan.
- The need for human resources mobilised during the pandemic as included in BCPs should be assessed (in terms of psychosocial impact and core functions) and its return to normal business appropriately timed.
- Awareness of the pandemic is utilised to promote precautionary measures for seasonal influenza and other respiratory infections.
- Risk-based triggers to identify the end of the pandemic at national and possibly subnational levels are included in the pandemic plan.
- Operational plans include mechanisms to de-escalate response measures, e.g. triggers for retrieving and storing pandemic vaccine stocks, returning to normal procedures in healthcare facilities, etc.

Key area 12: International cooperation, coordination and interoperability

Rationale

A pandemic, by definition, affects many regions and countries of the world. Global populations move freely across borders, and this is particularly so for citizens within internal EU borders. Citizens often obtain healthcare across borders, and in the case of the EU, this is an entitlement.

However, Member States are responsible for preparedness for their citizens and residents only within the sovereign national borders. Therefore, in order to enhance coordination of pandemic planning and response, intercountry cooperation during the pandemic planning process, plans based on commonly agreed scenarios of severity, and plans that take into account cross-border issues (such as migrant workers, cross-border healthcare, etc.) will all enhance the interoperability of pandemic preparedness and response. As it is provided by EU legislation (Decision No 1082/2013/EU), exchange of national pandemic plans between countries and necessity for creating interoperability of pandemic plans between countries offers confidence in a coherent and consistent response and is highly valuable in terms of professional support during national planning processes.

A coherent and consistent response to a pandemic across countries can only be achieved if public health authorities rapidly share information on pandemic developments. Particular focus should be on the experience of the first affected countries in Europe to address the 'known unknowns' of pandemics. There is a tendency to think internally in countries during a pandemic, especially as work pressure increases. It is important to think about national findings and experiences that might be useful to other countries. Providing information to WHO and the EU during a pandemic is required under the IHR and EU legislation but its utility will be enhanced if it happens based on prior thought and planning.

Good practice for effective international cooperation, coordination and interoperability

Planning

- National pandemic plans based on WHO and EU pandemic guidance.
- Coordinated UN agency approaches to support national pandemic preparedness in countries.
- National and local pandemic plans consider interoperability aspects of plans of neighbouring countries including migrants and citizens of other countries, migrant workers and access to cross-border healthcare.
- Neighbouring countries conduct cross-border simulation exercises as a platform to exchange information on national pandemic plans, test responses, and highlight areas for improvement.
- Member States participate in international activities facilitated by WHO and ECDC; topics include pandemic planning, exercises and information exchange.
- National pandemic plans and good practice are shared among countries by translating plans or through collaborative meetings between countries and are facilitated by WHO and ECDC.
- Where appropriate, countries participate in joint procurement of pandemic vaccines, e.g. under the common EU procedure adopted under EU Decision 1082/2013/EU.
- Countries contribute to the global risk assessment during a pandemic through their contribution to the Pandemic Influenza Preparedness (PIP) framework, the provision of surveillance data and by conducting additional investigations in a pandemic.
- Institutionally approved common research protocols agreed upon in order to implement multi-country research on severity, transmission and effectiveness of countermeasures.

Communication

- Staff responsible for international liaison during a pandemic has been appointed who ensure neighbouring countries are informed of key communications beforehand.
- Countries share among themselves and with international organisations approaches and strategies for communications during a pandemic.
- Communication channels between regions of neighbouring countries have been arranged beforehand.
- Informal international networks of experts that include the first affected countries established by WHO to inform the global risk assessment.

References and resources

General references (from the introduction)

World Health Organization. International Health Regulations (2005). Second edition. Geneva: WHO; 2008. Available from: <u>http://apps.who.int/iris/bitstream/10665/43883/1/9789241580410_eng.pdf</u>

Decision No 1082/2013/EU of the European Parliament and of the Council of 22 October 2013 on serious crossborder threats to health and repealing Decision No 2119/98/EC. Available from: <u>http://eurlex.europa.eu/LexUriServ.do?uri=OJ:L:2013:293:0001:0015:EN:PDF</u>

Simonsen L, Spreeuwenberg P, Lustig R, Taylor RJ, Fleming DM, Kroneman M, Van Kerkhove MD, et al. Global mortality estimates for the 2009 influenza pandemic from the GLaMOR project: a modeling study. PLoS Med. 2013 Nov;10(11):e1001558. Available from:

http://www.plosmedicine.org/article/info%3Adoi%2F10.1371%2Fjournal.pmed.1001558

Dawood FS, Iuliano AD, Reed C, Meltzer MI, Shay DK, Cheng PY, et al. Estimated global mortality associated with the first 12 months of 2009 pandemic influenza A H1N1 virus circulation: a modeling study. Lancet Infect Dis. 2012 Sep;12(9):687-95. Available from: <u>http://www.thelancet.com/journals/laninf/article/PIIS1473-3099(12)70121-4/abstract</u>

Sixty-Fourth World Health Assembly. Implementation of the International Health Regulations (2005), report of the Review Committee on the functioning of the International Health Regulations (2005) in relation to pandemic (H1N1) 2009. Report by the Director-General. Geneva: WHO; 2011. Available from: http://apps.who.int/gb/ebwha/pdf_files/WHA64/A64_10-en.pdf

World Health Organization, Regional Office for Europe. Key changes to pandemic plans by Member States of the WHO European Region based on lessons learned from the 2009 pandemic. Copenhagen: WHO Europe; 2012. Available from: <u>http://www.euro.who.int/ data/assets/pdf file/0006/161664/ECDC WHO WHO Regional Office for Europe PiP-Workshops-Summary-Report FINAL-26032012.pdf</u> and <u>http://www.ecdc.europa.eu/en/publications/Publications/1203-MER-Joint WHO WHO Regional Office for Europe PiP%20Workshops%20Summary.pdf</u>

World Health Organization. Pandemic influenza preparedness and response: a WHO guidance document. Geneva: WHO; 2009. Available from: <u>http://apps.who.int/iris/bitstream/10665/44123/1/9789241547680_eng.pdf</u>

World Health Organization. Pandemic influenza risk management. A WHO guide to inform and harmonize national and international pandemic preparedness and response. Geneva: WHO; 2017. Available from: http://www.who.int/influenza/preparedness/pandemic/PIRM withCoverPage 201709 FINAL.pdf

Key area 1: Framework for pandemic planning

Van Tam JN, Sellwood C, editors. Introduction to pandemic influenza (2nd edition). Wallingford, UK: CABI Publishing; 2013.

World Health Organization. WHO checklist for influenza pandemic preparedness planning. Geneva: WHO; 2005. Available from: <u>http://www.who.int/influenza/resources/documents/FluCheck6web.pdf</u>

European Centre for Disease Prevention and Control. Some suggested 'acid tests' for helping assess, strengthen local preparedness for moderate or severe pandemics. Stockholm: ECDC; 2007. Available from: https://ecdc.europa.eu/sites/portal/files/media/en/healthtopics/seasonal_influenza/Documents/Tool/0702_Local_Assessment_Acid_Tests.pdf

World Health Organization. Considerations on exercises to validate pandemic preparedness plans. Geneva: WHO; [no year]. Available from: <u>http://www.who.int/entity/influenza/resources/documents/ExerciseConsiderations.pdf</u>

World Health Organization. Ethical considerations in developing a public health response to pandemic influenza. Geneva: WHO; 2007. Available from: <u>http://www.who.int/ethics/influenza_project/en/index.html</u>

Key area 2: Pandemic planning and response based on risk assessment

World Health Organization, Regional Office for the Western Pacific. A guide to establishing event-based surveillance. Manila: WHO Western Pacific; 2008. Available from: http://www.wpro.who.int/emerging_diseases/documents/docs/eventbasedsurv.pdf World Health Organization. Rapid risk assessment of acute public health events. Geneva: WHO; 2012. Available from: <u>http://apps.who.int/iris/bitstream/10665/70810/1/WHO_HSE_GAR_ARO_2012.1_eng.pdf</u>

World Health Organization, WHO Regional Office for Europe. Guidance for sentinel influenza surveillance in humans 2011. Copenhagen: WHO; 2011. Available from: http://www.euro.who.int/ data/assets/pdf_file/0020/90443/E92738.pdf

Nicoll A, Ammon A, Amato Gauci A, Ciancio B, Zucs P, Devaux I, et al. Experience and lessons from surveillance and studies of the 2009 pandemic in Europe. Public Health. 2010 Jan;124(1):14-23. Available from: http://www.ncbi.nlm.nih.gov/pubmed/20141821

European Centre for Disease Prevention and Control. Known facts and known unknowns. Stockholm: ECDC; 2010.

Consortium for the Standardization of Influenza Seroepidemiology (CONSISE) [homepage on the internet]. [Accessed 2 Oct 2017]. Available from: <u>http://consise.tghn.org/</u>

World Health Organization. Global Influenza Surveillance and Response System (GISRS) [homepage on the internet]. [Accessed 2 Oct 2017]. Available from: <u>http://www.who.int/influenza/gisrs_laboratory/en/</u>

World Health Organization. Pandemic Influenza Preparedness (PIP) framework [homepage on the internet]. [Accessed 2 Oct 2017]. Available from: <u>http://www.who.int/influenza/pip/en/</u>

World Health Organization. Case definitions for the four diseases requiring notification in all circumstances under the International Health Regulations (2005). Geneva: World Health Organization [no year]. Available from: http://www.who.int/ihr/Case_Definitions.pdf

Key area 3: Coordination, command and control

World Health Organization. Emergency Response Framework. Geneva: WHO; 2013. Available from: http://www.who.int/hac/about/erf_.pdf

World Health Organization. Whole-of-society pandemic readiness: WHO guidelines for pandemic preparedness and response in the non-health sector. Geneva: WHO; 2009. Available from: http://www.who.int/influenza/preparedness/pandemic/2009-0808 wos pandemic readiness final.pdf

Key area 4: Risk communication

World Health Organization. Outbreak Communication planning guide. Geneva: WHO; 2008. Available from: <u>http://www.who.int/ihr/elibrary/WHOOutbreakCommsPlanngGuide.pdf</u>

Covello VT, Allen F. Seven cardinal rules of risk communication. Environmental Protection Agency, Office of Policy Analysis: Washington, DC; 1988: Available from: <u>http://odphp.osophs.dhhs.gov/pubs/prevrpt/archives/95fm1.htm</u>

Key area 5: Pandemic vaccines

World Health Organization. Guidance on development and implementation of a national deployment and vaccination plan for pandemic influenza vaccines. Geneva: WHO; 2012. Available from: http://apps.who.int/iris/bitstream/10665/75246/1/9789241503990 eng.pdf

World Health Organization. Guidelines on the use of vaccines and antivirals during influenza pandemics. Geneva: WHO; 2004. Available from:

http://www.who.int/influenza/resources/documents/WHO_CDS_CSR_RMD_2004_8/en/index.html

European Medicines Agency. Guidance documents relevant to the development and approval of pandemic-influenza vaccines in the European Union [internet]. London: EMA; 2004–2014 [accessed 2 Oct 2017]. Available from: http://www.ema.europa.eu/ema/index.jsp?curl=pages/regulation/document_listing/document_listing_000246.jsp&mid=WC0b01ac0580418608

European Centre for Disease Prevention and Control. Interim guidance: Use of specific pandemic influenza vaccines during the H1N1 2009 pandemic. Stockholm: ECDC; 2009. Available from: <u>http://ecdc.europa.eu/en/publications/Publications/0908 GUI Pandemic Influenza Vaccines during the H1N1 20 09 Pandemic.pdf</u>

European Centre for Disease Prevention and Control. Seasonal influenza vaccines [internet]. ECDC: Stockholm; 2017 [accessed 2 Oct 2017]. Available from: <u>https://ecdc.europa.eu/en/seasonal-influenza/prevention-and-control/seasonal-influenza-vaccines</u>

Influenza – Monitoring Vaccine Effectiveness (I-MOVE). I-MOVE in Europe, external links [internet]. [Accessed 2 Oct 2017]. Available from: <u>https://sites.google.com/site/epiflu/external-links</u>

Vaccine Adverse Event Surveillance & Communication (VAESCO). VAESCO [homepage on the internet]. Available from: <u>http://vaesco.net</u>

European Commission, Directorate-General Health and Food Safety. Joint procurement of medical countermeasures [internet]. Brussels: Directorate-General Health and Food Safety; 2010. Available from: https://ec.europa.eu/health/preparedness_response/joint_procurement_en

World Health Organization, Regional Office for Europe. The guide to tailoring immunization programmes (TIP). Copenhagen: WHO; 2013. Available from: <u>http://www.euro.who.int/______data/assets/pdf__file/0003/187347/The-_______duide-to-Tailoring-Immunization-Programmes-TIP.pdf</u>

Key area 6: Antivirals and other essential medicines

World Health Organization. WHO guidelines for pharmacological management of pandemic influenza A(H1N1) 2009 and other influenza viruses. Geneva: WHO; 2010. Available from: http://www.who.int/csr/resources/publications/swineflu/h1n1 guidelines pharmaceutical mngt.pdf

World Health Organization. WHO guidelines on the use of vaccines and antivirals during influenza pandemics. Geneva: WHO; 2004. Available from: <u>http://www.who.int/influenza/resources/documents/11_29_01_A.pdf</u>

European Centre for Disease Prevention and Control. ECDC Influenza Antivirals Guidance for Pandemics Available from: <u>http://ecdc.europa.eu/en/healthtopics/seasonal_influenza/antivirals/Pages/antivirals.aspx</u>

Key area 7: Healthcare services preparedness

World Health Organization, Regional Office for Europe. Hospital emergency response checklist – An all-hazards tool for hospital administrators and emergency managers. Copenhagen, WHO, 2011. Available from: http://apps.who.int/iris/bitstream/10665/70094/1/WHO HSE GAR BDP 2009.1a eng.pdf

World Health Organization. Clinical management of influenza and other acute respiratory illness in resource-limited settings: learning from the influenza pandemic (H1N1) 2009. Geneva: WHO; 2010. Available from: http://www.who.int/influenza/patient_care/clinical/858-WHOGIPReport_A4_WEB_FA.pdf

World Health Organization, Regional Office for Europe. WHO critical care training short course. Available from: http://www.euro.who.int/ data/assets/pdf file/0010/214786/WHO-Critical-Care-Training-Short-Course.pdf and http://www.euro.who.int/en/health-topics/communicable-diseases/influenza/publications/2013/who-clinical-caretraining-short-course

World Health Organization. Infection prevention and control of epidemic- and pandemic-prone acute respiratory diseases in healthcare. Geneva: WHO; 2007. Available from: http://www.who.int/csr/resources/publications/WHO CDS EPR 2007 6c.pdf

Walunj A, Thomson G, Gent N, Dunning J, Brett S. Global clinical networking and pandemic influenza. J Int Care Soc. 2010;11(3):165-70.

Key area 8: Non-pharmaceutical public health measures

European Centre for Disease Prevention and Control. Guide to public health measures to reduce the impact of influenza pandemics in Europe: 'The ECDC Menu'. Stockholm: ECDC; 2009. Available from: http://ecdc.europa.eu/en/publications/Publications/0906 TER Public Health Measures for Influenza Pandemics.pdf

World Health Organization. Reducing transmission of pandemic (H1N1) 2009 in school settings. Geneva: WHO; 2009. Available from: http://www.who.int/csr/resources/publications/reducing_transmission_h1n1_2009.pdf

World Health Organization. Public health measures during the influenza A(H1N1)2009 pandemic – meeting report. Geneva: WHO; 2011. Available from:

http://apps.who.int/iris/bitstream/10665/70747/1/WHO HSE GIP ITP 2011.3 eng.pdf

World Health Organization. Interim planning considerations for mass gatherings in the context of pandemic (H1N1) 2009 influenza. Geneva: WHO; 2009. Available from:

http://www.who.int/csr/disease/swineflu/guidance/pandemic_preparedness/en/index.html

European Centre for Disease Prevention and Control. Personal protective measures (non-pharmaceutical) for reducing the risk of acquiring or transmitting human influenza [page on the internet]. Stockholm: ECDC; 2017 [accessed 3 Oct 2017]. Available from: <u>https://ecdc.europa.eu/en/seasonal-influenza/prevention-and-control/personal-protective-measures</u>

Hatchett RJ, Mecher CE, Lipsitch M. Public health interventions and epidemic intensity during the 1918 influenza pandemic. Proc Natl Acad Sci USA. 2007 May 1;104(18):7582-7. Available from: http://www.pnas.org/content/104/18/7582.full

Key area 9: Essential services and business continuity

Missouri Department of Health and Senior Services. Pandemic influenza business planning toolkit. Jefferson City, MO: Missouri Department of Health and Senior Services; [no year]. Available from: http://health.mo.gov/emergencies/panflu/pdf/panflubusinesstoolkit.pdf

United States Department of Labour, Occupational Safety and Health Administration. Guidance on preparing workplaces for an influenza pandemic. Washington, DC: OSHA; 2009. Available from: <u>https://www.osha.gov/Publications/OSHA3327pandemic.pdf</u>

World Health Organization. Whole-of-society pandemic readiness: WHO guidelines for pandemic preparedness and response in the non-health sector. Geneva: WHO; 2009. Available from: http://www.who.int/influenza/preparedness/pandemic/2009-0808 wos pandemic readiness final.pdf

Key area 10: Special groups and settings

Hoffmann S. Preparing for disaster: protecting the most vulnerable in emergencies. UC Davis Law Rev; 42:1491. 2009. Available from: <u>http://lawreview.law.ucdavis.edu/issues/42/5/articles/42-5_Hoffman.pdf</u>

O'Sullivan T, Bourgoin M. Vulnerability in an influenza pandemic: looking beyond medical risk. Ottawa: Public Health Agency of Canada; 2010. Available from: <u>http://www.icid.com/files/Marg_Pop_Influenza/Lit_Review_-</u> <u>Vulnerability_in_Pandemic_EN.pdf</u>

Office of the Assistant Secretary for Preparedness and Response; Office for At-Risk Individuals, Behavioral Health, and Human Services Coordination. Report of the Interagency Workgroup on Pandemic Influenza and At-Risk Individuals. Washington, DC: Department of Health and Human Services; 2009. Available from: http://www.phe.gov/Preparedness/planning/abc/Documents/at-risk-panflu.pdf

Key area 11: After the pandemic: Recovery and transition phase

European Centre for Disease Prevention and Control. Pandemic 2009 evaluations and lessons learnt [internet]. Stockholm: ECDC; 2012 [accessed 2 Oct 2017]. Available from: <u>https://ecdc.europa.eu/en/seasonal-influenza/2009-influenza-h1n1/pandemic-preparedness/evaluations</u>

Sixty-Fourth World Health Assembly. Implementation of the International Health Regulations (2005), report of the Review Committee on the functioning of the International Health Regulations (2005) in relation to pandemic (H1N1) 2009. Report by the Director-General. Geneva: WHO; 2011. Available from: http://apps.who.int/gb/ebwha/pdf_files/WHA64/A64_10-en.pdf

Key area 12: International cooperation, coordination and interoperability

World Health Organization. International Health Regulations (2005). Second edition. Geneva: WHO; 2008. Available from: <u>http://apps.who.int/iris/bitstream/10665/43883/1/9789241580410_eng.pdf</u>

Decision No 1082/2013/EU of the European Parliament and of the Council of 22 October 2013 on serious crossborder threats to health and repealing Decision No 2119/98/EC. Available from: <u>http://eurlex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2013:293:0001:0015:EN:PDF</u>

European Centre for Disease Prevention and Control (ECDC)

Postal address: Granits väg 8, SE-171 65 Solna, Sweden

Visiting address: Tomtebodavägen 11A, SE-171 65 Solna, Sweden

Tel. +46 858601000 Fax +46 858601001 www.ecdc.europa.eu

An agency of the European Union www.europa.eu

Subscribe to our monthly email www.ecdc.europa.eu/en/publications

Contact us publications@ecdc.europa.eu

Follow us on Twitter @ECDC_EU

1 Like our Facebook page www.facebook.com/ECDC.EU

ECDC is committed to ensuring the transparency and independence of its work

In accordance with the Staff Regulations for Officials and Conditions of Employment of Other Servants of the European Union and the ECDC Independence Policy, ECDC staff members shall not, in the performance of their duties, deal with a matter in which, directly or indirectly, they have any personal interest such as to impair their independence. Declarations of interest must be received from any prospective contractor(s) before any contract can be awarded. www.ecdc.europa.eu/en/about-us/transparency

HOW TO OBTAIN EU PUBLICATIONS

Free publications:

- one copy:
 - via EU Bookshop (http://bookshop.europa.eu);
- more than one copy or posters/maps:

from the European Union's representations (http://ec.europa.eu/represent_en.htm); from the delegations in non-EU countries (http://eeas.europa.eu/delegations/index_en.htm) by contacting the Europe Direct service (http://europa.eu/europedirect/index_en.htm) or calling oo 800 6 7 8 9 10 11 (freephone number from anywhere in the EU) (*).

(*) The information given is free, as are most calls (though some operators, phone boxes or hotels may charge you).

Priced publications:

via EU Bookshop (http://bookshop.europa.eu).

