

TESSy - The European Surveillance System

Antimicrobial consumption (AMC) reporting protocol 2016

European Surveillance of Antimicrobial Consumption Network (ESAC-Net) surveillance data for 2015

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Introduction

This reporting protocol is for the 2016 data call for antimicrobial consumption (AMC) surveillance data for 2015.

ECDC's Reporting Protocols are data collection guidelines for reporting countries' data managers, and the new Reporting Protocol design is intended to improve user-friendliness by:

- Introducing a uniform structure to make it easier for data managers to find data collection information across different subjects.
- Removing information not relevant to data managers.

The Reporting Protocols are part of the ECDC Surveillance Protocol, which is currently under preparation.

The Reporting Protocols are supplemented by the *Technical Annex*, which contains updated generic information for each data collection.

Likewise, the Surveillance Protocol will contain some of the generic information previously contained in the Reporting Protocols.

Because reporting countries' data managers sometimes play multiple roles, it is sometimes relevant to distribute subject-specific material together with a Reporting Protocol. To maintain the uniform structure, this sort of material is now included in *Annex 2*.

How to use this document

This Reporting Protocol provides information for reporting countries' data managers in three main sections:

- Reporting to TESSy contains guidelines on how to prepare data for submission to TESSy, deadlines, subject-specific information (e.g. new changes to metadata), and links to further information.
- <u>Annex 1</u> contains:
 - The metadata set for the subject(s) covered by this Reporting Protocol.
 - o A history of previous AMC metadata changes.
- <u>Annex 2</u> contains AMC-specific material relevant for distribution with the Reporting Protocol, for example:
 - ESAC-Net objectives.
 - Reporting ESAC-Net data.
 - Examples.

Finding further information

 $\widehat{f 0}$ Paragraphs denoted by the information icon tell where you can find further information.

Updated links to all the schedules, documentation and training materials mentioned in this Reporting Protocol are included in the *Technical Annex*, including:

- Metadata sets and history.
- Tutorials for data transformation using respectively Excel and Access.
- TESSy user documentation.
- CSV and XML transport protocols.

On country request, ECDC will offer webinars: ca 2hrs online teleseminars, and an individual
coaching how to upload data to TESSy, incl. latest metadata changes etc. The webinars can
be requested by the National focal points and will be made available for data managers in
charge of uploading national consumption data to TESSy.

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Reporting to TESSy

This section provides both an overview of the TESSy reporting process and tips on where you can find useful information.

The overall process is:

- 1. Familiarise yourself with the data collection deadlines.
- 2. Prepare (export and transform) your data.
- 3. Check that your data complies with the metadata.
- 4. Check that your data source profile is up-to-date.
- 5. Submit your file(s) to TESSy.
- 6. Finalise and approve your submission.

Checking the data *collection* schedule

National reference data for antimicrobial consumption can only be reported once for one year, using AMC (preferred) or AMCLIGHT. Data from the community (primary care) and hospital sector should be reported separately rather than reporting total care data.

The collection of 2015 antimicrobial consumption data in TESSy will start in March 2016 and close on 30 June 2016. After this date, the ECDC experts will subject the data to a final cleaning and validation before analysing them. Data submitted after data collection closure are not guaranteed to be included in the 2015 ESAC-Net data outputs on the occasion of the European Antibiotic Awareness Day on 18 November 2016 and in HTML reports at the ECDC website summarising the 2015 ESAC-Net data. The uploaded 2015 ESAC-Net data will be released via the *public interactive ESAC-Net database* at the ECDC website on 18 November 2016.



 $\widehat{f 0}$ An updated link to the current data collections schedule is provided in the <u>Technical Annex.</u>

Preparing data

After you have exported the data from your national database, you need to ensure that the data are in a format that TESSy can accept. This applies both to the type of file submitted to TESSy (only CSV and XML files can be submitted) and to the format of the data in certain fields.

Tutorials covering how you can transform your data to the correct TESSy format using Excel or Access are available on the TESSy documents website. Information on the file formats is available in the CSV Transport Protocol and XML Transport Protocol.

Checking metadata

The TESSy metadata define the fields and data formats that are valid as input to TESSy for a given subject.

As requirements to the data to be shared among TESSy users change, the data changes needed to support the new requirements are identified and agreed upon between the National Surveillance Contact Points, the Network Coordination Groups and ECDC's Disease Experts, and then implemented as changes to the TESSy metadata.

In order to ensure that your data can be saved correctly in TESSy, you therefore need to check that your data are correctly formatted according to the most recent metadata set.

Changes to the metadata for the subject of this Reporting Protocol are described in:

- <u>Changes to current metadata</u> changes since the last Reporting Protocol.
- AMC metadata change history all preceding changes.

It is especially important to focus on:

Field formats

Many fields require that data are formatted in a specific way. For example, dates must be in the YYYY-MM-DD format; dates in the DD/MM/YYYY format will be rejected.

Coded values

Some fields only permit the use of specific values (coded values). For example, **M**, **F**, **UNK**, or **Other** are the coded values for *Gender* and any other value in a *Gender* field will be rejected.

The metadata file contains all the definitions and rules you need to comply with to format your data correctly for every subject (usually a disease). The file can be downloaded as an Excel file from the TESSy documents website.

By filtering the fields in the file by subject, you can see the fields required for your subject and the rules applying to these fields.

The <u>Technical Annex</u> provides an overview of how you work with the metadata file, and the TESSy user documentation provides in-depth details on metadata.

Checking your data source profile

Before submitting your file(s), please review the profile for your data source(s) in TESSy (go to **Data Sources**), and update the information, if necessary.



Complete and up-to-date data source information for each subject is important for improving interpretation of data - each surveillance system has different features that need to be taken into account when comparing data at an international level.

If your data source information is out-of-date and you do not have access rights to update it, please request your National Focal Point for Surveillance or National Coordinator to do so.

In-depth information on the data source variables is available in the TESSy user documentation.

Submitting your data

Data is submitted through the TESSy web interface (go to **Upload**).



The <u>Technical Annex</u> provides an overview of how you submit files to TESSy, and the TESSy user documentation provides in-depth descriptions of all the upload methods.

Finalising your submission

The compliance of your data with the validation rules in the metadata is checked automatically during the data upload process.

The result of your upload - i.e. rejected or validated - is displayed immediately after the conclusion of the check in the **Validation details** webpage. Please review the result carefully:

- If your file has been rejected, there will be a message explaining each instance of noncompliance with the metadata that you need to correct.
- If your file has been validated, there might be warnings and remarks relating to possible data quality issues or to potential overwriting of existing records that you should consider.

When you file has been validated and you are satisfied that all corrections have been made, please ensure prompt approval – unapproved uploads can block for the approval of other uploads.

The TESSy user documentation provides information on reviewing validation results and adjusting reporting periods to avoid overwriting existing records.

TESSy HelpDesk

Email: TESSy@ecdc.europa.eu

Telephone number: +46-(0)8-5860 1601

Availability: 9:00 – 16:00 Stockholm time, Monday to Friday (except ECDC Holidays)

Changes to current 2016 antimicrobial consumption (AMC) metadata (2015 surveillance data)

Clarification on the variable SyrupForm

The variable *SyrupForm* used to track **all antimicrobials** that are administered **orally as a liquid**. The variable SyrupForm does not correspond to the pharmaceutical form syrup only but to all pharmaceutical forms that will produce a liquid and will be administered orally. Examples of pharmaceutical forms that should be reported as 'Y' (yes) for SyrupForm are syrup, oral powder, oral solution and oral suspension.

The variable is used for tracking paediatric consumption corresponding to all antimicrobials that are taken orally as a liquid.

(A similar explanation was available in the 2015 reporting protocol. However, only a few countries reported this variable in 2015, in the way it was supposed to be reported. Therefore, we are reemphasising the usefulness of this variable. A correctly reported SyrupForm will enable estimations of proportions of paediatric consumption from the data reported to TESSy)

ATC and DDD updates

New ATC codes, ATC changes, DDD updates and allocations of defined daily doses for combined products in TESSy are provided in the <u>end of Annex 2.</u>

The previous metadata changes are described in <u>AMC metadata change history.</u>

Information on changes to the metadata for other subjects is available on the TESSy documentation website.

Annex 1 Antimicrobial consumption (AMC) metadata

This section describes:

- The antimicrobial consumption metadata
- Changes to the antimicrobial consumption metadata

ESAC-Net data

Three subjects have been created to manage ESAC-Net data: AMC (to record antimicrobial consumption data), AMCDENOM (to record population data) and AMCDS (to record contextual data related to antimicrobial consumption).

For these three subjects, five record types have been defined. Three record types relate to the AMC subject:

- AMC: antimicrobial consumption expressed at the product level (case-based);
- AMC\$PACKAGES: consumed packages at the product level (case-based);
- AMCLIGHT: antimicrobial consumption expressed at the substance level (aggregate).

The aggregate AMCDENOM record type for recording population data relates to the AMCDENOM subject. The AMCDS record type for recording contextual information relates to the AMCDS subject.

ESAC-Net record types

- The record type AMC contains the national registry data of all antimicrobials available in the country, even if not used during the reporting year. It includes 8 technical and 15 epidemiological variables.
- The record type AMC\$PACKAGES contains case-based antimicrobial consumption data at the product level. It includes 3 technical and 7 epidemiological variables.
- The record type AMCLIGHT is an alternative for reporting the two record types above and contains aggregated antimicrobial consumption data based on DDD at the ATC substance level. It includes 6 technical and 11 epidemiological variables.
- The record type AMCDENOM contains denominator data; i.e. data on the population under surveillance. It is mandatory only if the Member State is not using Eurostat data. Additionally, data subdivided by sub-national area (NUTS classification), age class or gender can be optionally reported. This record type includes 6 technical and 5 epidemiological variables.
- The record type AMCDS contains important information on the antimicrobial consumption data source. In particular, it includes information about whether the data represent national reference data and which groups of antimicrobials are included. It indicates the population under surveillance and whether Eurostat data should be used as denominator (preferred option) in the respective healthcare sector.

Finally, two variables offer the possibility to share comments, either only with ECDC or publicly in the individual country summary sheets. The record type AMCDS includes 7 technical variables, 10 epidemiological variables on consumption and 3 denominator variables for each sector. Finally, it includes 5 summary variables on the inclusion of nursing homes, psychiatric hospitals and day care centres. Data should preferably be reported separately for the community (primary care) and the hospital sector. If data cannot be subdivided between the community and hospital sector, they can be reported for both sectors combined (total care).

Descriptions of all the ESAC-Net metadata variables can found in the TESSy system and in an Excel file, which is provided with the invitation letter for the data call. Additionally, all variables, including the respective coding and the associated validation rules, are described in <u>Metadata for antimicrobial consumption surveillance</u> on page 11.

Current record type versions

Table 1 shows the record types and record type versions to be used when uploading 2015 AMC surveillance data to TESSy.

Table 1: Accepted record types and record type versions

Sub	ject	Record type version	Description
	Standard (case-based)	AMC.4	National registry data of all antimicrobials available.
AMC		AMC\$PACKAGES.3	Antimicrobial consumption data linked to the national registry.
	Light (aggregated)	AMCLIGHT.2	Consumption data (based on DDD).
AMCDENOM (aggregated)		AMCDENOM.1	Denominator / population under surveillance.
AMCDS (aggregated)		AMCDS.3	Data source information for antimicrobial consumption data.

Metadata for antimicrobial consumption surveillance

The description of each variable used for reporting of the datasets of antimicrobial consumption data is presented in the following tables.

Please note that validation rules only check data within one record type. For this reason, it is theoretically possible to successfully upload data into TESSy, but no results are shown on the online reports. The latter could happen if, for example, antimicrobial consumption data are reported with the light version, but the healthcare sector or the denominator data are not reported accordingly in the record types AMCDENOM or AMCDS. If this is the case, no calculation or data analysis will be performed by TESSy.

In the tables, the following conventions are used:

VariableName Literal name of a variable. Never contains spaces.

Upper/lower case is only used to improve readability.

Code Code as accepted by the system.

Description Description of the meaning of a possible value for a specific variable.

Validation rule Function of validation rule (e.g., checking for the right format, checking for

coded values, "look up" validation rules, expected values based on other

rules).

Some variables are technically mandatory, i.e. TESSy will not accept the data submission unless the fields corresponding to these variables have been completed.

AMC Reporting Protocol 2016 Annex 1 Antimicrobial consumption (AMC) metada Record type AMC - national registry data for all available antimicrobials

Table 2: Technical variables for record type AMC

1 – RecordID
Unique identifier for the product within the year reported. Possible format: ReportingCountry + Year + ProductId.
Yes (Error)
String (Max length: 80)
(new variable)
-
2 - RecordType
Structure and format of the data (case based reporting or aggregate reporting).
Yes (Error)
Coded Value
AMC
(new variable)
-
3 - RecordTypeVersion
Indicates the version of the record type used in the reported batch. If no record type version is provided in the batch, it is automatically set
with current version of the record type. The record type version is required when no metadata set is provided at upload or when a record type version, other than the current one, needs to be used.
required when no metadata set is provided at upload or when a record type version, other than the current one, needs to be used.
required when no metadata set is provided at upload or when a record type version, other than the current one, needs to be used. No
required when no metadata set is provided at upload or when a record type version, other than the current one, needs to be used. No Numeric
required when no metadata set is provided at upload or when a record type version, other than the current one, needs to be used. No Numeric See ESAC-Net metadata (e.g. 1)
required when no metadata set is provided at upload or when a record type version, other than the current one, needs to be used. No Numeric See ESAC-Net metadata (e.g. 1) (new variable)
required when no metadata set is provided at upload or when a record type version, other than the current one, needs to be used. No Numeric See ESAC-Net metadata (e.g. 1) (new variable)
required when no metadata set is provided at upload or when a record type version, other than the current one, needs to be used. No Numeric See ESAC-Net metadata (e.g. 1) (new variable) - 4 - Subject
required when no metadata set is provided at upload or when a record type version, other than the current one, needs to be used. No Numeric See ESAC-Net metadata (e.g. 1) (new variable) - 4 - Subject Subject of the data reported (see Figure 1 on page 43).
required when no metadata set is provided at upload or when a record type version, other than the current one, needs to be used. No Numeric See ESAC-Net metadata (e.g. 1) (new variable) - 4 - Subject Subject of the data reported (see Figure 1 on page 43). Yes (Error)
required when no metadata set is provided at upload or when a record type version, other than the current one, needs to be used. No Numeric See ESAC-Net metadata (e.g. 1) (new variable) - 4 - Subject Subject of the data reported (see Figure 1 on page 43). Yes (Error) Coded Value

WariableName	F - DataSource
VariableName	5 - DataSource
Description	Data source (surveillance system) from which the record originates.
Required (what happens if not submitted)	Yes (Error)
Data type	Coded Value
Code	See ESAC-Net metadata
Corresponding variable in the previous ESAC project dataset (notes)	(new variable)
Validation rule	-
VariableName	6 - ReportingCountry
Description	Country reporting the record.
Required (what happens if not submitted)	Yes
Data type	Coded Value
Code	See ESAC-Net metadata
Corresponding variable in the previous ESAC project dataset	COUNTRY
Validation rule	-
VariableName	7 - DateUsedForStatistics
Description	Year of reporting.
Required (what happens if not submitted)	Yes (Error)
Data type	Date
Code	Year (YYYY)
Corresponding variable in the previous ESAC project dataset	YEAR
Validation rule	-
VariableName	8 - Status
Description	Status of reporting NEW/UPDATE or DELETE (inactivate). Default if left out: NEW/UPDATE. If set to DELETE, the record with the given RecordId will be deleted from the TESSy database (or better stated, invalidated). If set to NEW/UPDATE or left empty, the record is newly entered into the database.
Required (what happens if not submitted)	No
Data type	Coded Value
Code	NEW/UPDATE, DELETE
Corresponding variable in the previous ESAC project dataset	-
Validation rule	-

Table 3: Epidemiological variables for record type AMC

VariableName	9 - ProductId
Description	Product identifier (previously Medicinal Product Package Code Value - MPPCV). Must be a unique identifier of the medicinal product package (MPP). Because it is a key value in many tables, it must not change over time. Product identifiers that are no longer available on the market or that are no longer registered still can be identified in the TESSy database for historical purposes.
Required (what happens if not submitted)	No
Data type	String (Max length: 80)
Corresponding variable in the previous ESAC project dataset	ID
Validation rule	-
VariableName	10 - ProductLabel
Description	The product label or medicinal product package label (e.g. Lanoxin compr 60 X 0.125 mg).
Required (what happens if not submitted)	Yes (Error)
Data type	String (Max length: 80)
Corresponding variable in the previous ESAC project dataset (notes)	LABEL
Validation rule	-
VariableName	11 - PackageSize
Description	Package size or number of items (e.g., tablets) in the package. Do not provide the unit (e.g., not 60 tablets, it should be reported only as 60). Note that e. g. vials are quantified in number of items and not quantified by their volume (see How to report medicinal products in vials or syrup forms).
Required (what happens if not submitted)	No
Data type	Numeric
Corresponding variable in the previous ESAC project dataset (notes)	PACKSIZE
Validation rule	-
VariableName	12 - PackageSizeUnit
Description	Unit of the size (number of items) of a package.
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	PCS=pieces,
Corresponding variable in the previous ESAC project dataset (notes)	PACKSIZE UNIT
Validation rule	-
VariableName	13 - Strength
Description	Quantity of the ingredient in each unit. For multi-ingredient medicinal products, this field must contain the ingredient strength in which the DDD is expressed (e.g., amoxicillin/clavulanic acid combinations: strength expresses the strength of amoxicillin since DDD=1000 mg amoxicillin). For combined products where the DDD is expressed in Unit Dose (UD), the strength should be reported in grams. The DDD used for computation will be a conversion from UD to grams.

Annex 1 Antimicrobial consumption (AMC) metadata
Yes (Error)
String (Max length: 80)
STRENGTH
Strength must be a positive integer or float (up to 3 decimals)
14- StrengthUnit
Unit of the strength reported. For the combined products where the DDD is expressed in Unit Dose the strength should be given in grams.
Yes (Error)
Coded value
G = Gram, MG = Milligram, IU = International unit, MU = Million units
STRENGTHU
If ATCCode is reported different than A07AA02, A07AA05, J01XB01, J01CE01, J01CE02 and J01FA02, then StrengthUnit must be reported as G or MG. If ATCCode is reported as A07AA02, A07AA05 or J01XB01, then StrengthUnit must be reported as IU or MU.
15 - AntimicrobialRoute
Route of administration of the substance.
Yes (Error)
Coded value
O = Oral, P = Parenteral, R = Rectal, I = Inhalation, M = Implant
ROUTE/ADMIN
-
16- SyrupForm
Is the product a syrup? (The variable will be used for tracking paediatric consumption).
Yes (Error)
Coded value
Y = Yes, N = No, NA = Not applicable
-
If AntimicrobialRoute is reported different than 'O', then SyrupForm must be reported as NA If AntimicrobialRoute is reported as 'O', then SyrupForm must be
reported as Y/N.
reported as Y/N.
reported as Y/N. 17- InhalationForm The galenic form of the drug for inhalation, i.e. inhalation powder or
reported as Y/N. 17- InhalationForm The galenic form of the drug for inhalation, i.e. inhalation powder or inhalation solution
reported as Y/N. 17- InhalationForm The galenic form of the drug for inhalation, i.e. inhalation powder or inhalation solution No
reported as Y/N. 17- InhalationForm The galenic form of the drug for inhalation, i.e. inhalation powder or inhalation solution No Coded value

AMC Reporting Protocol 2016	Annex 1 Antimicrobial consumption (AMC) metadata
	If Route is reported as different from "I", InhalationForm should not be reported
VariableName	18- ATCCode
Description	ATC code of the substance (ATC 5th level).
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	List of ATC codes (only ATC 5th level codes) - (See <u>Annual ESAC-Net</u> <u>data collection</u>)
Corresponding variable in the previous ESAC project dataset (notes)	ATC
Validation rule	-
VariableName	19- Salt
Description	Salt associated with substance. Only used (required) for methenamine and for erythromycin. For methenamine, the associated salt (hippurate or mandelate) should be specified. For erythromycin, if the associated salt is ethylsuccinate and the galenic form is tablet, then ethylsuccinate must be specified. In all other cases (any other form than tablet and even if ethylsuccinate), the variable "Salt" should be left empty.
Required (what happens if not	No
submitted) Data type	Coded value
Code	HIPP = Hippurate, MAND = Mandelate, ESUC = Ethylsuccinate,
Code	NA = Not applicable
Corresponding variable in the previous ESAC project dataset (notes)	SALT
Validation rule	If ATCCode is reported as J01XX05 (methenamine), then Salt must be reported as HIPP or MAND. If ATCCode is reported as J01FA01 (erythromycin) and AntimicrobialRoute is reported as O (oral), then Salt can only be reported as ESUC. If ATCCode is reported as J01FA01 (erythromycin) and AntimicrobialRoute is reported different than O (oral), then Salt must not be reported. If ATCCode is reported different than J01XX05 (methenamine) or J01FA01 (erythromycin), then Salt must not be reported.
VariableName	20 - DPPNational
Description	Number of DDD per package provided by the country. ECDC will compute the DPP from the package size, strength, basic quantity ingredient and WHO DDD.
Required (what happens if not submitted)	No.
Data type	String (Max length: 80)
Corresponding variable in the previous ESAC project dataset (notes)	DPP
Validation rule	DPPNational must be a positive integer or float (up to 3 decimals). DPPNational must be reported when DDDNational is reported different than UNK. If DPPNational is reported, then DDDNational must be reported different than UNK.
VariableName	21 - DDDNational
Description	Daily dose established by the country. It can be different from the WHO DDD.
Required (what happens if not submitted)	No
Data type	String (Max length: 80)

AMC Reporting Protocol 2016	Annex 1 Anumicrobial consumption (AMC) metadata
Corresponding variable in the previous ESAC project dataset (notes)	NATIONAL DDD
Validation rule	DDDNational must be a positive integer or float up to 3 decimals (UNK is allowed). DDDNational is used to calculate the number of DDDs (for the current combination of ATCCode, AntimicrobialRoute and Salt) because the DDD value is missing in the WHO DDD table, www.WHOCC.No). If DDD national is reported as unknown, the number of DDDs will not be calculated
VariableName	22- DDDNationalUnit
Description	The unit used to express DDDNational.
Required (what happens if not submitted)	No
Data type	Coded value
Code	G = Gram, MG = Milligram, IU = International unit, MU = Million units, UNK = Unknown
Corresponding variable in the previous ESAC project dataset (notes)	NATIONAL DDU
Validation rule	If DDDNational is not reported or equals to UNK, then DDDNationalUnit must not be reported (UNK is allowed). If DDDNational is reported different than UNK, then DDDNationalUnit must be reported and be different than UNK.
VariableName	23 - PackageContent
Description	Package content provided by the country. ECDC will compute the package content from the package size and strength.
Required (what happens if not submitted)	No
Data type	String (Max length: 80)
Corresponding variable in the previous ESAC project dataset (notes)	PACKCONTENT
Validation rule	PackageContent must be a positive integer or float (up to 3 decimals). If PackageContentUnit is different than G and MU, then PackageContent must be reported as integer. If PackageContent is not reported, then PackageContentUnit must not be reported. If PackageContent is reported, then PackageContentUnit must be reported.
VariableName	24 - PackageContentUnit
Description	Unit of the package content.
Required (what happens if not submitted)	No
Data type	Coded value
Code	G = Gram, MG = Milligram, IU = International unit, MU = Million units.
Corresponding variable in the previous ESAC project dataset (notes)	PCU
Validation rule	If StrengthUnit is reported as G or MG, then PackageContentUnit can only be reported as G or MG. If StrengthUnit is reported as IU or MU, then PackageContentUnit can only be reported as IU or MU.

Record type AMC\$PACKAGES - antimicrobial consumption data linked to the national registry

Table 4: Technical variables for record type AMC\$PACKAGES

VariableName	1 - RecordID
Description	Unique identifier for the product package consumption. Possible format: ParentId + PlaceOfNotification + PlaceOfNotification + AgeClass + Gender + Sector + Prescriber + Quarter.
Required (what happens if not submitted)	Yes (Error)
Data type	String (Max length: 80)
Corresponding variable in the previous ESAC project dataset (notes)	(new variable)
Validation rule	-
VariableName	2 - RecordType
Description	Structure and format of the data (case based reporting or aggregate reporting).
Required (what happens if not submitted)	Yes (Error)
Data type	Coded Value
Code	AMC\$PACKAGES
Corresponding variable in the previous ESAC project dataset (notes)	(new variable)
Validation rule	-
VariableName	3 - ParentId
Description	Unique identifier for the product within the year reported. Recommended format: ReportingCountry + Year + ProductId.
Required (what happens if not submitted)	Yes (Error)
Data type	String (Max length: 80)
Corresponding variable in the previous ESAC project dataset (notes)	(new variable)
Validation rule	ParentId exists in the AMC registry.

Table 5: Epidemiological variables for record type AMC\$PACKAGES

VariableName	4 - PlaceOfNotification
Description	Sub-national area for which data are reported. Select the most detailed NUTS level possible. Leave empty for national data.
Required (what happens if not submitted)	No
Data type	Coded value
Code	List of NUTS codes, country codes for non EU/EEA, UNK - Unknown
Corresponding variable in the previous ESAC project dataset (notes)	NUTS LEVEL, NUTS ID
Validation rule	-

VariableName	5 - AgeClass
Description	Age class for which consumption data is reported. Leave empty if data are not reported by age class.
Required (what happens if not submitted)	No
Data type	Coded value
Code	See ESAC-Net metadata
Corresponding variable in the previous ESAC project dataset (notes)	AGE
Validation rule	AgeClass can only be reported for the community (primary care). For community (primary care), please report age class, if possible AgeClass can be reported only with a value from the following list: '00-<01', '01-04', '05-09', '10-14', '15-19', '20-24', '25-29', '30-34', '35-39', '40-44', '45-49', '50-54', '55-59', '60-64', '65-69', '70-74', '75-79', '80-84', '85+' or 'UNK'.
VariableName	6 - Gender
Description	Gender of the reported data. Leave empty if data are not reported by gender.
Required (what happens if not submitted)	No
Data type	Coded value
Code	"M=Male, F=Female, O=Other, UNK=Unknown
Corresponding variable in the previous ESAC project dataset (notes)	GENDER
Validation rule	Gender can only be reported for the community (primary care). For community (primary care), please report gender, if possible.
VariableName	7 - Sector
Description	Sector for which data are reported, i.e. community (primary care), hospital sector, or both healthcare sectors combined (total care).
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	AC = community (primary care), HC = Hospital sector, TC = Total care
Corresponding variable in the previous ESAC project dataset (notes)	SECTOR
Validation rule	-
VariableName	8 - Prescriber
Description	Category of physicians prescribing the antimicrobials.
Required (what happens if not submitted)	No
Data type	Coded value
Code	"GP = General Practitioners, SP = Specialists, GE = Geriatrics, DE = Dentists, PE = Paediatricians, OTH = Other, UNK = Unknown - not available.
Corresponding variable in the previous ESAC project dataset (notes)	-
Validation rule	Prescriber can only be reported only for the community (primary care). For community (primary care), please report prescriber, if possible.
VariableName	9 - ReportQuarter
Description	Use only when reporting quarterly data. Leave empty for annual data.
Required (what happens if not	No
submitted)	

Corresponding variable in the previous ESAC project dataset (notes)	PERIODICITY
Validation rule	-
VariableName	10 - NumberOfPackages
Description	Number of packages used for the reported sector and period.
Required (what happens if not submitted)	Yes (Error)
Data type	String (Max length: 80)
Corresponding variable in the previous ESAC project dataset (notes)	VOLUME
Validation rule	NumberOfPackages must be an integer or float (up to 3 decimals).

Record type AMCLIGHT - antimicrobial consumption data (directly as a number of DDDs) AMCLIGHT record type

Table 6: Technical variables for record type AMCLIGHT

	1 Decembra
VariableName	1 - RecordType
Description	Structure and format of the data (case based reporting or aggregate reporting).
Required (what happens if not submitted)	Yes (Error)
Data type	Coded Value
Code	AMCLIGHT
Corresponding variable in the previous ESAC project dataset (notes)	(new variable)
Validation rule	-
VariableName	2 - RecordTypeVersion
Description	Indicates the version of the record type used in the reported batch. If no record type version is provided in the batch, it is automatically set with current version of the record type. The record type version is required when no metadata set is provided at upload or when a record type version, other than the current one, needs to be used.
Required (what happens if not submitted)	No
Data type	Numeric
Code	See ESAC-Net metadata (e.g. 1)
Corresponding variable in the previous ESAC project dataset (notes)	(new variable)
Validation rule	-
VariableName	3 - Subject
Description	Subject of the data reported (see Figure 1 on page 43).
Required (what happens if not submitted)	Yes (Error)
Data type	Coded Value
Code	AMC
Corresponding variable in the previous ESAC project dataset (notes)	(new variable)
Validation rule	-

VariableName	4 - DataSource
Description	Data source (surveillance system) from which the record originates.
Required (what happens if not submitted)	Yes (Error)
Data type	Coded Value
Code	See ESAC-Net metadata
Corresponding variable in the previous ESAC project dataset (notes)	(new variable)
Validation rule	-
VariableName	5 - ReportingCountry
Description	Country reporting the record.
Required (what happens if not submitted)	Yes
Data type	Coded Value
Code	See ESAC-Net metadata
Corresponding variable in the previous ESAC project dataset	COUNTRY
VariableName	6 - DateUsedForStatistics
Description	Year of reporting.
Required (what happens if not submitted)	Yes
Data type	Date
Code	Year (YYYY, YYYY-Qq)
Corresponding variable in the previous ESAC project dataset	YEAR

Table 7: Epidemiological variables for record type AMCLIGHT

VariableName	7 - PlaceOfNotification
Description	Sub-national area for which data are reported. Select the most detailed NUTS level possible. Leave empty for national data.
Required (what happens if not submitted)	No
Data type	Coded value
Code	List of NUTS codes, country codes for non EU/EEA, UNK – Unknown.
Corresponding variable in the previous ESAC project dataset (notes)	NUTS LEVEL, NUTS ID
Validation rule	-
VariableName	8 - AgeClass
Description	Age class for which consumption data is reported. Leave empty if data are not reported by age class.
Required (what happens if not submitted)	No
Data type	Coded value
Code	See ESAC-Net metadata
Corresponding variable in the previous ESAC project dataset (notes)	AGE
Validation rule	AgeClass can only be reported for the community (primary care) AgeClass can be reported only with a value from the following list: '00-<01', '01-04', '05-09', '10-14', '15-19', '20-24', '25-29', '30-34', '35-39',

Annex 1 Antimicrobial consumption (AMC) metadata 40-44', '45-49', '50-54', '55-59', '60-64', '65-69', '70-74', '75-79', '80-
84', '85+' or 'UNK'.
9 - Gender
Gender of the reported data. Leave empty if data are not reported by gender.
No
Coded value
"M=Male, F=Female, O=Other, UNK=Unknown
GENDER
Gender can only be reported for the community (primary care).
10 - Sector
Sector for which data are reported, i.e. community (primary care), hospital sector, or both healthcare sectors combined (total care).
Yes (Error)
Coded value
AC = community (primary care), HC = Hospital sector, TC = Total care
SECTOR
-
11 - Prescriber
Category of physicians prescribing the antimicrobials.
No
Coded value
"GP = General Practitioners, SP = Specialists, GE = Geriatrics, DE = Dentists, PE = Paediatricians, OTH = Other, UNK = Unknown - not available.
-
Prescriber can only be reported for the community (primary care).
12 - ATCCode
ATC code of the substance (ATC 5th level).
Yes (Error)
Coded value
List of ATC codes (only ATC 5th level codes). See <u>Annual ESAC-Net</u> <u>data collection</u>).
ATC
-
13 - ATCName
ATC name of the substance (ATC 5th level).
No
String (Max length: 80)
-
-

VariableName	14 - AntimicrobialRoute
Description	Route of administration of the substance.
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	O = Oral, P = Parenteral, R = Rectal, I = Inhalation, M = Implant
Corresponding variable in the previous ESAC project dataset (notes)	ROUTE/ADMIN
Validation rule	-
VariableName	15 - Salt
Description	Salt associated with substance. Only used (required) for methenamine and for erythromycin. For methenamine, the associated salt (hippurate or mandelate) should be specified. For erythromycin, if the associated salt is ethylsuccinate and the galenic form is tablet, then ethylsuccinate must be specified. In all other cases (any other form than tablet and even if ethylsuccinate), the variable "Salt" should be left empty.
Required (what happens if not	No
submitted) Data type	Coded value
Code	HIPP = Hippurate, MAND = Mandelate, ESUC = Ethylsuccinate, NA = Not applicable.
Corresponding variable in the previous ESAC project dataset (notes)	SALT
Validation rule	If ATCCode is reported as J01XX05 (methenamine), then Salt must be reported as HIPP or MAND. If ATCCode is reported as J01FA01 (erythromycin) and AntimicrobialRoute is reported as O (oral), then Salt can only be reported as ESUC. If ATCCode is reported as J01FA01 (erythromycin) and AntimicrobialRoute is reported different than O (oral), then Salt must not be reported. If ATCCode is reported different than J01XX05 (methenamine) or J01FA01 (erythromycin), then Salt must not be reported.
VariableName	16 - NumberOfDDD
Description Required (what happens if not	Number of DDD used for the reported substance, healthcare sector and period. In the record type AMCDS (data source), specify whether NumberOfDDD is reported as WHO DDDs or national Daily Doses. Yes (Error)
submitted) Data type	String (Max length: 80)
Corresponding variable in the previous	VOLUME VOLUME
ESAC project dataset (notes) Validation rule	NumberOfDDD must be a integer or float (up to 3 decimals).
VariableName	17- NumberOfPackages
Description	Number of packages used for the reported substance, healthcare sector and period.
Required (what happens if not submitted)	No No
Data type	String (Max length: 80)
Corresponding variable in the previous ESAC project dataset (notes)	-
Validation rule	NumberOfPackages must be an integer or float (up to 3 decimals).
VariableName	18 - CombinedProduct

Description	ATC code of the substance for combined products.
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Corresponding variable in the previous ESAC project dataset (notes)	List of ATC codes for combined products. See Annual ESAC-Net data collection).
Validation rule	If ATCCode is not equal to the first 7 characters (before "_") of the code indicated in CombinedProduct.

Record type AMCDENOM - Denominator / Population under surveillance

Table 8: Technical variables for record type AMCDENOM

VariableName	1 - RecordType
Description	Structure and format of the data (case based reporting or aggregate reporting).
Required (what happens if not submitted)	Yes (Error)
Data type	Coded Value
Code	AMCDENOM
Corresponding variable in the previous ESAC project dataset (notes)	(new variable)
Validation rule	-
VariableName	2 - RecordTypeVersion
Description	Indicates the version of the record type used in the reported batch. If no record type version is provided in the batch, it is automatically set with current version of the record type. The record type version is required when no metadata set is provided at upload or when a record type version, other than the current one, needs to be used.
Required (what happens if not submitted)	No
Data type	Numeric
Code	See ESAC-Net metadata (e.g. 1)
Corresponding variable in the previous ESAC project dataset (notes)	(new variable)
Validation rule	-
VariableName	3 - Subject
Description	Subject of the data reported (see Figure 1 on page 43).
Required (what happens if not submitted)	Yes (Error)
Data type	Coded Value
Code	AMCDENOM
Corresponding variable in the previous ESAC project dataset (notes)	(new variable)
Validation rule	-
VariableName	4 - DataSource
Description	Data source (surveillance system) from which the record originates.
Required (what happens if not submitted)	Yes (Error)
Data type	Coded Value

Code	See ESAC-Net metadata
Corresponding variable in the previous ESAC project dataset (notes)	(new variable)
Validation rule	-
VariableName	5 - ReportingCountry
Description	Country reporting the record.
Required (what happens if not submitted)	Yes
Data type	Coded Value
Code	See ESAC-Net metadata
Corresponding variable in the previous ESAC project dataset	COUNTRY
Validation rule	-
VariableName	6 - DateUsedForStatistics
Description	Year of reporting.
Required (what happens if not submitted)	Yes
Data type	Date
Code	Year (YYYY)
Corresponding variable in the previous ESAC project dataset	YEAR
Validation rule	-

Table 9: Epidemiological variables for AMCDENOM

VariableName	7 - PlaceOfNotification
Description	Sub-national area for which data are reported. Select the most detailed NUTS level possible. Leave empty for national data.
Required (what happens if not submitted)	No
Data type	Coded value
Code	List of NUTS codes, country codes for non EU/EEA, UNK - Unknown
Corresponding variable in the previous ESAC project dataset (notes)	NUTS LEVEL, NUTS ID
Validation rule	-
VariableName	8 - AgeClass
Description	Age class for which consumption data is reported. Leave empty if data are not reported by age class.
Required (what happens if not submitted)	No
Data type	Coded value
Code	See ESAC-Net metadata
Corresponding variable in the previous ESAC project dataset (notes)	AGE
Validation rule	AgeClass shall be reported only for the community (primary care) For community (primary care), please report age class, if possible. AgeClass can be reported only with a value from the following list: '00-<01', '01-04', '05-09', '10-14', '15-19', '20-24', '25-29', '30-34', '35-39', '40-44', '45-49', '50-54', '55-59', '60-64', '65-69', '70-74', '75-79', '80-84', '85+' or 'UNK'.

VariableName	9 - Gender
Description	Gender of the reported data. Leave empty if data are not reported by gender.
Required (what happens if not submitted)	No
Data type	Coded value
Code	"M=Male, F=Female, O=Other, UNK=Unknown
Corresponding variable in the previous ESAC project dataset (notes)	GENDER
Validation rule	Gender can only be reported for the community (primary care). For community (primary care), please report gender, if possible.
VariableName	10 - Sector
Description	Sector for which data are reported, i.e. community (primary care), hospital sector, or both healthcare sectors combined (total care).
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	AC = community (primary care), HC = Hospital sector, TC = Total care
Corresponding variable in the previous ESAC project dataset (notes)	SECTOR
Validation rule	-
VariableName	11 - Denominator
Description	Number of individuals in place of notification.
Required (what happens if not submitted)	No
Data type	Numeric
Corresponding variable in the previous ESAC project dataset	-
Validation rule	-

Record type AMCDS - data source information for antimicrobial consumption data

Table 10: Technical variables for record type AMCDS

VariableName	1 - RecordType
Description	Structure and format of the data (case based reporting or aggregate reporting).
Required (what happens if not submitted)	Yes (Error)
Data type	Coded Value
Code	AMCDS
Corresponding variable in the previous ESAC project dataset (notes)	(new variable)
Validation rule	-
VariableName	2 - RecordTypeVersion
Description	Indicates the version of the record type used in the reported batch. If no record type version is provided in the batch, it is automatically set with current version of the record type. The record type version is required when no metadata set is provided at upload or when a record type version, other than the current one, needs to be used.

AMC Reporting Protocol 2016	Annex 1 Antimicrobial consumption (AMC) metadata
Required (what happens if not submitted)	No
Data type	Numeric
Code	See ESAC-Net metadata (e.g. 1)
Corresponding variable in the previous ESAC project dataset (notes)	(new variable)
Validation rule	-
VariableName	3 - Subject
Description	Subject of the data reported.(see Figure 1 on page 43)
Required (what happens if not submitted)	Yes (Error)
Data type	Coded Value
Code	AMCDS
Corresponding variable in the previous ESAC project dataset (notes)	(new variable)
Validation rule	-
VariableName	4 - DataSource
Description	Data source (surveillance system) from which the record originates.
Required (what happens if not submitted)	Yes (Error)
Data type	Coded Value
Code	See ESAC-Net metadata
Corresponding variable in the previous ESAC project dataset (notes)	(new variable)
Validation rule	-
VariableName	5 - ReportingCountry
Description	Country reporting the record.
Required (what happens if not submitted)	Yes
Data type	Coded Value
Code	See ESAC-Net metadata
Corresponding variable in the previous ESAC project dataset	COUNTRY
Validation rule	-
VariableName	6 - DateUsedForStatistics
Description	Year of reporting.
Required (what happens if not submitted)	Yes
Data type	Date
Code	Year (YYYY)
Corresponding variable in the previous ESAC project dataset	YEAR
Validation rule	-
VariableName	7- NationalReferenceData
Description	Are data reported as national reference data? If no, data reported shall provide additional information (e.g., reporting age class and gender from a different database than the National reference data).
Required (what happens if not submitted)	Yes (Error)

Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESAC project dataset	YEAR
Validation rule	-

Table 11: Epidemiological variables for record type AMCDS - community (primary care) data)

VariableName	8 - DS_DataProviderAC
Description	Which authority/organisation/network was the provider for community (primary care) data?
Required (what happens if not submitted)	No
Data type	Coded Value
Code	MoH = Ministry of Health, HI = Health Insurance Company, CP = Community Pharmacists, HN = Hospital Network, MR = Marketing Research Company, MA = Medicines Agency, O = Other.
Corresponding variable in the previous ESAC project dataset	-
Validation rule	-
VariableName	9 - DS_TypeOfDataAC
Description	What is the type of community (primary care) data?
Required (what happens if not submitted)	No
Data type	Coded value
Code	S = Sales, R = Reimbursement, B = Both
Corresponding variable in the previous ESAC project dataset	-
Validation rule	If DS_DataProviderAC is reported, then all the information for the community (primary care), including DS_TypeOfDataAC, must be reported.
VariableName	10 - DS_CoverageAC
Description	What is the percentage of coverage of consumption data in the community (primary care)? (See How to report data coverage in the AMCDS record type)
Required (what happens if not submitted)	Yes (Error)
Data type	Numeric
Corresponding variable in the previous ESAC project dataset (notes)	-
Validation rule	If DS_DataProviderAC is reported, then all the information for the community (primary care), including DS_CoverageAC, must be reported.
VariableName	11 - DS_CoverageExtrapolatedAC
Description	Were the data extrapolated to obtain 100% coverage of the community (primary care) in the country?
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESAC project dataset (notes)	-

ANC Reporting Protocol 2010	Annex 1 Antimicropial consumption (AMC) metadata
Validation rule	If DS_DataProviderAC is reported, then all the information for the community (primary care), including DS_CoverageExtrapolatedAC, must be reported. If DS_EurostatDataAC = Y and DS_CoverageAC is less than 100, then
VariableName	DS_CoverageExtrapolatedAC must be reported as Y." 12 - DS_ATCVersionAC
Description	Which version of the WHO ATC/DDD index was used for reporting consumption data in the community (primary care?
Required (what happens if not	Yes (Error)
submitted)	l Vaari
Data type	Year
Code	YYYY
Corresponding variable in the previous ESAC project dataset (notes)	-
Validation rule	If DS_DataProviderAC is reported, then all the information for the community (primary care), including DS_ATCVersionAC, must be reported.
VariableName	13- DS_ATCVersionAlteratedAC
Description	Were other than official WHO ATC/DDD alterations applied?
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESAC project dataset (notes)	-
Validation rule	If DS_DataProviderAC is reported, then all the information for the community (primary care), including DS_ATCVersionAlteratedAC, must be reported.
VariableName	14 - DS_J01InclusionAC
Description	Is consumption of substances in ATC groups J01 + A07AA + P01AB (i.e., antibacterials for systemic use + intestinal antiinfectives/antibiotics + nitroimidazole derivatives) included in the data reported for the community (primary care)?
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESAC project dataset (notes)	-
Validation rule	If DS_DataProviderAC is reported, then all the information for the community (primary care), including DS_J01InclusionAC, must be reported.
VariableName	15 - DS_J02InclusionAC
Description	Is consumption of substances in ATC groups J02 + D01BA (i.e., antimycotics for systemic use + antifungals for systemic use) included in the data reported for the community (primary care)?
Paguired (what happens if not	Yes (Error)
Required (what happens if not submitted)	
submitted) Data type	Coded value
submitted)	Coded value Y = Yes, N = No
submitted) Data type Code Corresponding variable in the previous	
submitted) Data type Code	Y = Yes, N = No

Description	Is consumption of substances in ATC group J04A (drugs for the treatment of tuberculosis) included in the data reported for the community (primary care)?
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESAC project dataset (notes)	-
Validation rule	If DS_DataProviderAC is reported, then all the information for the community (primary care), including DS_J04InclusionAC, must be reported.
VariableName	17 - DS_J05InclusionAC
Description	Is consumption of substances in ATC group J05 (antivirals for systemic use) included in the data reported for the community (primary care)?
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESAC project dataset (notes)	-
Validation rule	If DS_DataProviderAC is reported, then all the information for the community (primary care), including DS_J05InclusionAC, must be reported.

Table 12: Denominator data for the community (primary care)

VariableName	18- DS_EurostatDataAC
Description	Are the reported population data from Eurostat?
	If no, national population data must be provided by the country.
Required (what happens if not submitted)	Yes (Warning)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESAC project dataset (notes)	-
Validation rule	If DS_EurostatDataAC = N, then DS_DataProviderDenomAC must be reported
VariableName	19- DS_DataProviderDenomAC
Description	Which authority/organisation/network was the provider for population data for the community (primary care)?
Required (what happens if not submitted)	No
Data type	Coded value
Code	MoH = Ministry of Health, HI = Health Insurance Company, NS = National Statistics Agency, O = Other, NA = Not applicable.
Corresponding variable in the previous ESAC project dataset (notes)	-
Validation rule	If DS_EurostatDataAC = N, then DS_DataProviderDenomAC must be reported.
	If DS_EurostatDataAC = Y, then DS_DataProviderDenomAC must not be reported.
VariableName	20 - DS_TypeOfDataDenomAC
Description	What is the type of population data for the community (primary care)?
Required (what happens if not submitted)	No

Data type	Coded value
Code	POP = Population, INS = Insured population.
Corresponding variable in the previous ESAC project dataset (notes)	-
Validation rule	If DS_EurostatDataAC = N, then DS_TypeOfDataDenomAC must be reported. If DS_EurostatDataAC = Y, then DS_TypeOfDataDenomAC must not be reported.

Table 13: Epidemiological variables for record type AMCDS - Hospital care data

VariableName	21 - DS_DataProviderHC
Description	Which authority/organisation/network was the provider for hospital sector data?
Required (what happens if not submitted)	No
Data type	Coded Value
Code	MoH = Ministry of Health, HI = Health Insurance Company, CP = Community Pharmacists, HN = Hospital Network, MR = Marketing Research Company, MA = Medicines Agency, O = Other.
Corresponding variable in the previous ESAC project dataset	-
Validation rule	-
VariableName	22 - DS_TypeOfDataHC
Description	What is the type of hospital sector data?
Required (what happens if not submitted)	No
Data type	Coded value
Code	S = Sales, R = Reimbursement, B = Both
Corresponding variable in the previous ESAC project dataset	-
Validation rule	If DS_DataProviderHC is reported, then all the information for the hospital sector, including DS_TypeOfDataHC, must be reported.
VariableName	23 - DS_CoverageHC
Description	What is the percentage of coverage of consumption data in the hospital sector?
Required (what happens if not submitted)	Yes (Error)
Data type	Numeric
Corresponding variable in the previous ESHC-Net Dataset (notes)	-
Validation rule	If DS_DataProviderHC is reported, then all the information for the hospital sector, including DS_CoverageHC, must be reported.
VariableName	24 - DS_CoverageExtrapolatedHC
Description	Were the data extrapolated to obtain 100% coverage of the hospital sector in the country?
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESHC-Net Dataset (notes)	-

AMC Reporting Protocol 2016	Annex 1 Antimicrobial consumption (APIC) metadata
Validation rule	If DS_DataProviderHC is reported, then all the information for the hospital sector, including DS_CoverageExtrapolatedHC, must be reported. If DS_EurostatDataHC = Y and DS_CoverageHC is less than 100, then DS_CoverageExtrapolatedHC must be reported as Y.
VariableName	25- DS_ATCVersionHC
Description	Which version of the WHO ATC/DDD index was used for reporting consumption data in the hospital sector?
Required (what happens if not submitted)	Yes (Error)
Data type	Year
Code	YYYY
Corresponding variable in the previous ESHC-Net Dataset (notes)	-
Validation rule	If DS_DataProviderHC is reported then all the information for the hospital sector, including DS_ATCVersionHC, must be reported.
VariableName	26- DS_ATCVersionAlteratedHC
Description	Were other than official WHO ATC/DDD alterations used?
Required (what happens if not submitted)	N Yes (Error)
Data type	Coded value
Code	Y = Yes, $N = No$
Corresponding variable in the previous ESHC-Net Dataset (notes)	-
Validation rule	If DS_DataProviderHC is reported then all the information for the hospital sector, including DS_ATCVersionAlteratedHC, must be reported.
VariableName	27 - DS_J01InclusionHC
Description	Is consumption of substances in ATC groups J01 + A07AA + P01AB (i.e., antibacterials for systemic use + intestinal antiinfectives/antibiotics + nitroimidazole derivatives) included in the data reported for the hospital sector?
Required (what happens if not submitted)	N Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESHC-Net Dataset (notes)	-
Validation rule	If DS_DataProviderHC is reported then all the information for the hospital sector, including DS_J01InclusionHC, must be reported.
VariableName	28- DS_J02InclusionHC
Description	Is consumption of substances in ATC groups J02 + D01BA (i.e., antimycotics for systemic use + antifungals fos systemic use) included in the data reported for the hospital sector?
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, $N = No$
Corresponding variable in the previous ESHC-Net Dataset (notes)	-
Validation rule	If DS_DataProviderHC is reported then all the information for the hospital sector, including DS_J02InclusionHC, must be reported.

VariableName	29 - DS_J04InclusionHC
Description	Is consumption of substances in ATC group J04A (drugs for the treatment of tuberculosis) included in the data reported for the hospital sector?
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESHC-Net Dataset (notes)	-
Validation rule	If DS_DataProviderHC is reported then all the information for the hospital sector, including DS_J04InclusionHC, must be reported.
VariableName	30 - DS_J05InclusionHC
Description	Is consumption of substances in ATC group J05 (antivirals for systemic use) included in the data reported for the hospital sector?
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESHC-Net Dataset (notes)	-
Validation rule	If DS_DataProviderHC is reported then all the information for the hospital sector, including DS_J05InclusionHC, must be reported.

Table 14: Denominator data for the hospital sector

VariableName	31 - DS_EurostatDataHC
Description	Are the reported population data from Eurostat? If no, national population data must be provided by the country.
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESHC-Net Dataset (notes)	-
Validation rule	If DS_EurostatDataHC = N, then DS_DataProviderDenomHC must be reported
VariableName	32 - DS_DataProviderDenomHC
Description	Which authority/organisation/network was the provider for population data for the hospital sector?
Required (what happens if not submitted)	No
Data type	Coded value
Code	MoH = Ministry of Health, HI = Health Insurance Company, NS = National Statistics Agency, O = Other, NA = Not applicable
Corresponding variable in the previous ESHC-Net Dataset (notes)	-
Validation rule	"If DS_EurostatDataHC = N, then DS_DataProviderDenomHC must be reported. If DS_EurostatDataHC = Y, then DS_DataProviderDenomHC must not be reported.
VariableName	33 - DS_TypeOfDataDenomHC
Description	What is the type of the population data for the hospital sector?
Required (what happens if not submitted)	No

Data type	Coded value
Code	POP = Population, INS = Insured population.
Corresponding variable in the previous ESHC-Net Dataset (notes)	-
Validation rule	If DS_EurostatDataHC = N, then DS_TypeOfDataDenomHC must be reported. If DS_EurostatDataHC = Y, then DS_TypeOfDataDenomHC must not be reported.

Table 15: Epidemiological variables for record type AMCDS Total care data

VariableName	34- DS_DataProviderTC
Description	Which authority/organisation/network was the provider for "total care" data?
Required (what happens if not submitted)	No
Data type	Coded Value
Code	MoH = Ministry of Health, HI = Health Insurance Company, CP = Community Pharmacists, HN = Hospital Network, MR = Marketing Research Company, MA = Medicines Agency, O = Other.
Corresponding variable in the previous ESTC-Net Dataset	-
Validation rule	-
VariableName	35- DS_TypeOfDataTC
Description	What is the type of "total care" data?
Required (what happens if not submitted)	No
Data type	Coded value
Code	S = Sales, R = Reimbursement, B = Both
Corresponding variable in the previous ESTC-Net Dataset	-
Validation rule	If DS_DataProviderTC is reported, then all the information for "total care", including DS_TypeOfDataTC, must be reported.
VariableName	36 - DS_CoverageTC
Description	What is the percentage of coverage of consumption data for "total care"?
Required (what happens if not submitted)	Yes (Error)
Data type	Numeric
Corresponding variable in the previous ESTC-Net Dataset (notes)	-
Validation rule	If DS_DataProviderTC is reported, then all the information for "total care", including DS_CoverageTC, must be reported.
VariableName	37- DS_CoverageExtrapolatedTC
Description	Were the data extrapolated to obtain 100% coverage of "total care" in the country?
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESTC-Net Dataset (notes)	-

ANC Reporting Protocol 2010	Annex 1 Antimicrobial consumption (Anc.) metadata
Validation rule	If DS_DataProviderTC is reported, then all the information for "total care", including DS_CoverageExtrapolatedTC, must be reported. If DS_EurostatDataTC = Y and DS_CoverageTC is less than 100, then DS_CoverageExtrapolatedTC must be reported as Y.
VariableName	38 - DS_ATCVersionTC
Description	Which version of the WHO ATC/DDD index was used for reporting consumption data in "total care"?
Required (what happens if not submitted)	Yes (Error)
Data type	Date
Code	YYYY
Corresponding variable in the previous ESTC-Net Dataset (notes)	-
Validation rule	If DS_DataProviderTC is reported, then all the information for "total care", including DS_ATCVersionTC, must be reported.
VariableName	39- DS_ATCVersionAlteratedTC
Description	Were other than official WHO ATC/DDD alterations applied
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESTC-Net Dataset (notes)	-
Validation rule	If DS_DataProviderTC is reported, then all the information for "total care", including DS_ATCVersionAlteratedTC, must be reported.
VariableName	40 - DS_J01InclusionTC
Description	Is consumption of substances in ATC groups J01 + A07AA + P01AB (i.e., antibacterials for systemic use + intestinal antiinfectives/antibiotics + nitroimidazole derivatives) included in the data reported for "total care"?
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESTC-Net Dataset (notes)	-
Validation rule	If DS_DataProviderTC is reported, then all the information for "total care", including DS_J01InclusionTC, must be reported.
VariableName	41 - DS_J02InclusionTC
Description	Is consumption of substances in ATC groups J02 + D01BA (i.e., antimycotics for systemic use + antifungals for systemic use) included in the data reported for "total care"?
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESTC-Net Dataset (notes)	-
Validation rule	If DS_DataProviderTC is reported, then all the information for "total care", including DS_J02InclusionTC, must be reported.
VariableName	42- DS_J04InclusionTC
Description	Is consumption of substances in ATC group J04A (drugs for the treatment of tuberculosis) included in the data reported for "total care"?

Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESTC-Net Dataset (notes)	-
Validation rule	If DS_DataProviderTC is reported, then all the information for "total care", including DS_J04InclusionTC, must be reported.
VariableName	43 - DS_J05InclusionTC
Description	Is consumption of substances in ATC group J05 (antivirals for systemic use) included in the data reported for "total care"?
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESTC-Net Dataset (notes)	-
Validation rule	If DS_DataProviderTC is reported, then all the information for "total care", including DS_J05InclusionTC, must be reported.

Table 16: Denominator data for the total care sector

VariableName	44- DS_EurostatDataTC
Description	Are the reported population data from Eurostat? If no, national population data must be provided by the country.
Required (what happens if not submitted)	Yes (Error)
Data type	Coded value
Code	Y = Yes, N = No
Corresponding variable in the previous ESTC-Net Dataset (notes)	-
Validation rule	If DS_EurostatDataTC = N, then DS_DataProviderDenomTC must be reported
VariableName	45 - DS_DataProviderDenomTC
Description	Which authority/organisation/network was the provider for the population data for 'total care'?
Required (what happens if not submitted)	No
Data type	Coded value
Code	MoH = Ministry of Health, HI = Health Insurance Company, NS = National Statistics Agency, O = Other, NA = Not applicable
Corresponding variable in the previous ESTC-Net Dataset (notes)	-
Validation rule	If DS_EurostatDataTC = N, then DS_DataProviderDenomTC must be reported. If DS_EurostatDataTC = Y then DS_DataProviderDenomTC must not be reported.
VariableName	46 - DS_TypeOfDataDenomTC
Description	What is the type of the population data for 'total care'?
Required (what happens if not submitted)	No
Data type	Coded value
Code	POP = Population, INS = Insured population.

Corresponding variable in the previous ESTC-Net Dataset (notes)	-
Validation rule	If DS_EurostatDataTC = N, then DS_TypeOfDataDenomTC must be reported.
	If DS_EurostatDataTC = Y, then DS_TypeOfDataDenomTC must not be reported.

Table 17: Summary variables

VariableName	47 - DS_PSYHOSP_Inclusion	
Description	In which sector, i.e. community (primary care), hospital sector, or both), are data from psychiatric hospitals reported?	
Required (what happens if not submitted)	No	
Data type	Coded value	
Code	AC = Community (primary care), HC = Hospital care, BOTH = Community and hospital care, NONE = Not included.	
Corresponding variable in the previous ESTC-Net Dataset <i>(notes)</i>	No	
Validation rule	-	
VariableName	48 - DS_HALT_Inclusion	
Description	In which sector, i.e. community (primary care), hospital sector, or both, are data from nursing homes and other long-term care facilities for the elderly reported?	
Required (what happens if not submitted)	No	
Data type	Coded value	
Code	AC = Community (primary care), HC = Hospital sector, BOTH = Community and hospital care, NONE = Not included.	
Corresponding variable in the previous ESTC-Net Dataset <i>(notes)</i>	-	
Validation rule	-	
VariableName	49- DS_DayCare_Inclusion	
Description	In which sector (AC and/or HC), data from day care centres (for young children) are reported?	
Required (what happens if not submitted)	No	
Data type	Coded value	
Code	AC = Community (primary care), HC = Hospital sector, BOTH = Community and hospital sector, NONE = Not included.	
Corresponding variable in the previous ESTC-Net Dataset <i>(notes)</i>	-	
Validation rule	-	
VariableName	50 - DS_CommentECDC	
Description	General comments for ECDC. Any information that is important or useful when analysing the data, these comments will not be published.	
Required (what happens if not	No	
submitted) Data type	String variable	
Corresponding variable in the previous ESTC-Net Dataset <i>(notes)</i>	-	
Validation rule	-	
VariableName	51 - DS_CommentPublic	
Description	General comments for public display. Any remark that should be included when presenting data.	

Required (what happens if not	No
submitted)	
Data type	String variable
Corresponding variable in the previous	-
ESTC-Net Dataset (notes)	
Validation rule	-

AMC metadata change history

Metadata changes prior to 2014 can be found on the TESSy documents website.

2015 and 2016 AMC metadata changes

Only the coded value list for ATC codes and a few validation rules for the subjects AMC and AMCLIGHT had been updated for simplification purposes..

2014 AMC metadata changes

Changes and clarifications for the 4th ESAC-Net data call (2013 data) were:

• A new inhalation form variable was added to the ESAC-Net metadata.

The ATC/DDD index was changed breaking the current logic of calculating DDDs in ESAC-Net. Two different numbers of DDD were assigned for the same route of administration based on the administration form; i.e. for inhalation of tobramycin either as inhalation powder or inhalation solution.

To comply with this scenario, a new variable 'InhalationForm' has been added. When inhalation is selected as route of administration, it is now mandatory to provide the pharmaceutical form either as inhalation powder (IP) or inhalation solution (IS).

For the historical data (including data from 2010 to 2012), where only the route of administration variable but not the variable inhalation form was available, no changes are required as the previous unique assigned DDD will be used.

Any retrospective change using the new protocol will require the use of the new inhalation form.

 Member States are to provide information about the type of data reported to ECDC using the variable DS_TypeOfData (one for each sector) in the AMCDS record type.

This variable accepts 3 values: 'Sales', 'Reimbursement' or 'Both':

- 'Reimbursement' shall be chosen when the data originate from insurance systems (either public or private). These data are based on reimbursements provided to insured patients.
- 'Sales' shall be chosen when the data are based only on sales of antimicrobials originating either directly from marketing authorisation holders, wholesalers or pharmacies, regardless if patients are reimbursed. When data originate from sales data and patients are reimbursed, the country should select 'Sales' as type of data, not 'Both'.
- 'Both' shall be chosen when part of the provided data originate from insurance systems and part from sales data.
- When a country is reporting 'Both' for the variable DS_TypeOfData for a sector in the
 record type AMCDS, it should provide information in the variable DS_CommentECDC on
 how sales and reimbursement data are divided. A country could e.g. generally collect
 reimbursement data and additionally sales data for non-reimbursed antimicrobials to
 capture all antimicrobials consumed in a country.

- When a country is not reporting consumption data for the whole population, i.e. the variable DS_Coverage[AC,HC or TC] is reported with a value below 100, national population data from Eurostat or national statistics can only be used, if the consumption was extrapolated to 100% of the population before submitting the data to TESSy (variable DS_Extrapolated[AC, HC or TC] set to 'yes'). Otherwise, the country must provide the population for which the consumption data was reported. For further information, please refer to the examples in *Examples*.
- Variable names and descriptions have been cleaned. The term 'community' supersedes 'ambulatory care'.
- Member States are asked to use the public comment field in the AMCDS record type to share important information related to their antimicrobial consumption and not captured by any of the existing variables. These comments are automatically integrated in the TESSy online reports and the public interactive database, but are no longer included in the ESAC-Net surveillance report.

http://ecdc.europa.eu/en/healthtopics/antimicrobial resistance/esac-net-database/pages/database.aspx

Table 18: Summary of implemented changes in case-based record types for Antimicrobial Consumption (AMC) Antimicrobial Resistance (AMR) and Healthcare-Associated Infections (HAI)

Year	Subject	Description			
2016	AMC	Simplification and updates of validation rules			
	AMCLIGHT	Simplification and updates of validation rules			
	AMCDS	Error message for: DS_ATCVersionAC(HT,TC) DS_ATCVersionAlteratedAC(HT,TC) DS_CoverageAC(HT,TC) DS_J01InclusionAC(HT,TC) DS_J02InclusionAC(HT,TC) DS_J04InclusionAC(HT,TC) DS_J05InclusionAC(HT,TC) If DS_DataProviderAC(HT,TC) and one of the previous variables is not reported			
		Warning message if DS_EurostatDataAC(HT,TC)=N and DS_DataProviderDenomAC(HT,TC) is not reported			
2015	HAIHALT HAIICU HAIPPS HAIPPSLIGHT HAIPPSVAL	ATC codes: update of coded value lists using the ATC coding system			
	HAIICU\$PT	Add two optional variables in the HAIICU\$PT level to describe name and value of other severity score at ICU admission to predict mortality in the ICU			
	HAIICU\$PT	Removal of variable ApacheII			
	HAIPPS	Update of max value . This max value is linked to the year of submission of the data			
	HAISSI\$Op	Update description of the variables . This has no impact on data reporting since the technical variable names are not changed.			
	HAISSI\$Op	Update coded value list for EndoscopicProc to add 3 new modalities			
	HAISSI\$Op	Add optional variable "Date of last follow-up in-hospital" and related validation rules			
	HAISSI\$Op	Update Max value for the variable OperationDur			
	HAISSI\$Op	Add optional Variables NonICD9CodeName and NonICD9Code and related validation rules. The validation rule checks that if a name of a non ICD9 code is provided, than a value for the code must exist			
	HAISSI HAISSILIGHT	Update description of the field HospitalId. This has no impact on data reporting since the technical variable names are not changed.			
	AMC\$PACKAGE S AMCDS	Update name of variables: - Ambulatory Care (AC) is replace by Community; - Hospital Care (HC) is replaced by Hospital sector; - TC (total care) is replaced by Total care. This has no impact on data reporting since the technical variable names are not changed (only 'full name' and 'description' are changed). Some descriptions also improved.			
	AMCDS	Addition of a remark to ask the users in providing explanations when data comes from both sales and re-imbursement sectors.			
2014	AMC	ATC codes: update of a coded value list using the ATC coding system.			
	AMC	A variable is added to collect information on the galenic form of antimicrobial agents used for inhalation (powder or solution) to comply with WHO coding standards.			
	AMC	Validation rule associated to the new variable.			
	AMRTEST	Addition of new codes to coded value list for antibiotics.			
	AMRTEST	Update of validation rules associated to these new antibiotics.			
	HAISSI / HAISSILIGHT	Update operation codes (values) in the HAISSI\$OP level.			

Year	Subject	Description
	HAISSI / HAISSILIGHT	New optional variable in the HAISSI\$OP\$INF level to allow identifying inhospital vs. post-discharge surgical site infections in case the date of onset and/or the date of discharge from hospital are/is missing.
	HAISSI / HAISSILIGHT	New optional variable in the HAISSI\$OP\$INF level to allow varying post- discharge methodology within the same hospital (currently only collected at the hospital level).
	HAI_HALT	Proposal to add a new record type for healthcare-associated infections in long-term care facilities collected through point prevalence studies (HAIHALT), including aggregated institutional data and several levels of data collection for residential patients: resident's data , infection, antimicrobial treatment and antimicrobial resistance.

Annex 2 Antimicrobial consumption (AMC) specific material

This section covers:

- <u>ESAC-Net</u> the network's history and objectives.
- Overview of AMC data collection and analysis AMC-specific information on uploading data to TESSy and the annual AMC data collection.
- Reporting ESAC-Net data tips for reporting for certain categories of data.
- <u>Examples</u> examples of reporting data on the consumption of fluids, and on consumption and population coverage.
- Antimicrobials under ESAC-Net surveillance
- ATC and DDD updates.

FSAC-Net

The European Surveillance of Antimicrobial Consumption Network (ESAC-Net) is a Europe-wide network of national surveillance systems, providing European reference data on antimicrobial consumption. ESAC-Net collects and analyses data on antimicrobial consumption from EU/EEA countries, both in the community and in the hospital sector.

The specific objectives of ESAC-Net are:

- To validate antimicrobial consumption data from the community (primary care) and the hospital sector derived from national surveillance networks, including data from the national drug registers;
- To analyse trends in antimicrobial consumption overall and for the different ATC groups, as well as provide comparisons between countries and regions;
- To make information on antimicrobial consumption in Europe publicly accessible through an ESAC-Net interactive database.

ESAC-Net covers all EU/EEA Member States in agreement with Decision 1082/2013/EU of the European Parliament and the Council of 22 October 2013 on serious cross-border threats to health.

The data sources are national sales and reimbursement data, including information from national drug registers. The WHO Anatomical Therapeutic Chemical (ATC) classification system is used for the allocation of antimicrobials into groups. National reference data are preferably collected at the medicinal product level. The preferred units of measurement are the number of DDDs and the numbers of packages. The main indicators describing antimicrobial consumption are the number of DDDs per 1 000 inhabitants and per day, and the number of packages per 1 000 inhabitants and per day.

Data covering the period 1997-2009 were uploaded to TESSy after termination of the ESAC project using the historical project database that was transferred from the University of Antwerp to ECDC.

Overview of AMC data collection and analysis

Following data management at country level, antimicrobial consumption data from each healthcare sector – for example, national sales data for the community (primary care) – are provided by uploading defined datasets as described in Figure 1.

For each healthcare sector, the datasets to be uploaded comprise the three subjects AMC, AMCDENOM and AMCDS.

Figure 1: Overview of ESAC-Net metadata for each data source.

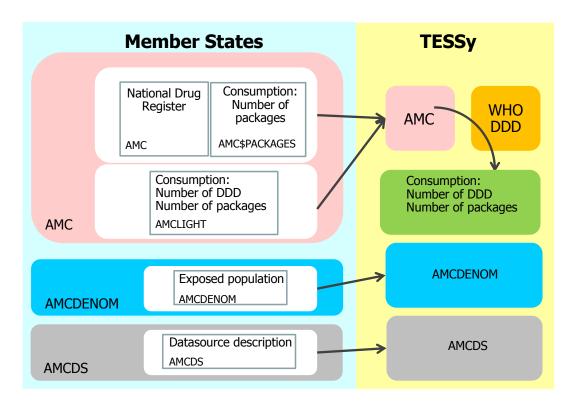


Figure 1 illustrates that different datasets for the subjects AMC, AMCDENOM and AMCDS must be uploaded from different data sources. For antimicrobial consumption, the preferred option is to provide data at the medicinal product level (record types AMC and AMC\$PACKAGES), or alternatively aggregated data at the substance level (record type AMCLIGHT). Data on the denominator (population, record type AMCDENOM) and on data sources (record type AMCDS) must always be provided.

Uploading data only for the subject AMC (record types AMC and AMC\$PACKAGES, or record type AMCLIGHT) is not sufficient. Denominator data (subject AMCDENOM with record type AMCDENOM) and description of the data source (subject AMCDS with record type AMCDS) are essential to enable TESSy to calculate the number of DDDs per 1 000 inhabitants and per day and produce online reports.

There are four possible situations depending on whether the country provides data at medicinal product level (preferred option) or at the substance level, and on whether the country uses Eurostat or its own population data:

- Countries providing data at the medicinal product level and using Eurostat data must provide three datasets (record types AMC, AMC\$PACKAGES and AMCDS);
- Countries providing data at the medicinal product level and using their own denominator data must provide four datasets (record types AMC, AMC\$PACKAGES, AMCDENOM and AMCDS);
- Countries providing data at the ATC substance level and using Eurostat data must provide two datasets (record types AMCLIGHT and AMCDS);
- Countries providing data directly at the ATC substance level and using their own denominator data must provide three datasets (record types AMCLIGHT, AMCDENOM and AMCDS).

TESSy will use the least level of detail common between AMC and AMCDENOM subjects for reporting. For example, if consumption was reported by age and gender, but population was only reported overall for the whole country, then TESSy will aggregate consumption data before reporting.

Following successful upload of data, TESSy users have access to the TESSy online reports. Based on these TESSy reports and following consultations with MS, ECDC publishes an annual ESAC-Net surveillance report and a chapter on antimicrobial consumption in Europe in ECDC's Annual Epidemiological Report.

Summary of the TESSy AMC data upload and validation process

- 1. The national data manager revises and compiles the data from national sales and/or reimbursement databases.
- 2. The nominated national focal point for antimicrobial surveillance or the national operational contact point with TESSy rights to upload and/or approve data uploads the compiled data into TESSy. At the end of this process, the complete uploaded file will be saved in a specific environment which is different from the one used to generate the online reports.
- 3. TESSy provides a validation report to the country user for approval. This report shows the correctness of the data uploaded based on the validation rules defined in the ESAC-Net metadata. At this stage, neither the calculation nor the volume of DDD reported is validated. The country user checks the validation report and approves or rejects the uploaded file.
- 4. After approval by the country user, the file is automatically transferred into the TESSy data warehouse where the number of DDDs per 1 000 inhabitants and per day are calculated for each ATC substance and each sector. At this stage the data are available in the TESSy online reports for antimicrobial consumption and can be downloaded by the country user. These online reports are automatically refreshed every hour. The outputs (maps, graphs and tables) of TESSy online reports are produced with the same methods and programmes used for the final reports and web application outputs.
- 5. The user should validate the figures shown in the TESSy online reports. The reports contain detailed results for the country referring down to the ATC 5th level. Additionally, the contact points who uploaded the data will receive via email a comparative summary of the data including data from the previous three years.
- 6. It is important that the user informs the ESAC-Net experts at ECDC via email on the status of the data to avoid ECDC using incorrect data.
- 7. In case mistakes are found or records must be updated the user should repeat all previous reporting steps.

Annual ESAC-Net data collection

The complete calendar year is the temporal basis for data reporting and analysis.

The annual national consumption data derived from sales or reimbursement data can be reported:

- Either using the record type AMC\$PACKAGES with reporting of all consumed packages at the product level based on the national registry data given in the parent record type AMC. The number of DDDs will subsequently be calculated by TESSy. This is the preferred option.
- Or using the record type AMCLIGHT for reporting aggregate numbers of Defined Daily Doses (DDDs) at the ATC substance level.

Denominator data (record type AMCDENOM) and information on the data source for antimicrobial consumption data (record type AMCDS) must be reported whatever option is chosen to report consumption data.

Reporting ESAC-Net data

As described in <u>Overview of AMC data collection and analysis</u>, there are two options for reporting ESAC-Net data:

 The preferred standard version: Reporting national antimicrobial consumption data at the medicinal product level, including national registry data, using the record types AMC and AMCPACKAGES. If data are delivered with this preferred standard version:

- For erythromycin and methenamine the associated salt must be provided (see ESAC-Net metadata);
- For the combined products (J01EE and J05AR), the strengths should be reported in grams since ECDC is not following the ATC/DDD index for combined products. This method, however, results in the same number of DDDs. See <u>Allocations of defined</u> <u>daily doses for combined products in TESSy</u>.
- A light version: Reporting national antimicrobial consumption data at the ATC substance level using the record type AMCLIGHT.

Reporting for different healthcare sectors

The preferred approach is to report national reference data of antimicrobial consumption separately for each healthcare sector: community (primary care) and hospital sector. If the same data source is used, it can be uploaded as one batch file (see Figure 1 on page 43).

If otherwise not possible, the national reference data can be reported on both healthcare sectors combined, i.e. total care (= community plus hospital sector).

When using the AMCLIGHT record type, data on the number of packages can be omitted for the hospital sector.

Reporting the variables age class, gender and prescriber

If possible, ESAC-Net encourages reporting of community (primary care) antimicrobial consumption data stratified by age class, gender and prescriber.

It is possible to report data for the variables age class, gender and prescriber using a data source different from that of the main consumption data, thus allowing double reporting to TESSy. However, only one data source can be assigned as being the national reference data, which is necessary to ensure comparison of antimicrobial consumption between different Member States.

In cases where national reference data (without reporting for age class, gender and/or prescriber) are reported in the preferred standard reporting (record types AMC, AMCPACKAGES) and additional data for age class and gender are reported in the light version (record type AMCLIGHT), the reporting country must create two TESSy data sources (one for the national reference data and another for the additional data) and refer to the correct data source in the record types. Otherwise, TESSy will not be able to separate the data coming from the different sources and will provide wrong results.

Reporting population (number of inhabitants)

Eurostat population denominator data are preferred.

Available from: http://epp.eurostat.ec.europa.eu/portal/page/portal/eurostat/home/

TESSy maintains an up-to-date copy of the Eurostat population data in its own database.

- If the surveillance coverage is compatible with Eurostat data, it is not necessary to submit any population denominator data to TESSy via the record type AMCDS. By default, TESSy uses Eurostat population data.
- If the surveillance coverage is not compatible with Eurostat data or if data providers disagree with TESSy using Eurostat data, it is necessary to provide denominator data at the same level as the level of consumption data (healthcare sector, NUTS, age class and gender). For more detailed information, please consult *Examples*.

Reporting historical data from the former ESAC project

Data covering the period 1997-2009 were uploaded into TESSy after termination of the ESAC project using the historical project database that was transferred from the University of Antwerp to ECDC.

If necessary, Member States can update these data in the same way that they have uploaded data for the year 2010 and onward; however, in this case use TESSy's **Replace** function instead of its **Update** function.

Examples

This section provides examples of reporting data on the consumption of fluids, and on consumption and population coverage.

How to report medicinal products in vials or syrup forms

Medicinal products in a vial or syrup form have either a strength expressed as a concentration (for instance 250mg/5ml, 80mg/ml), or their content is expressed as the total amount (for instance 250mg/vial). TESSy cannot handle data for the variable Strength expressed as a concentration. Below indications are provided how to fill in the AMC record type for such products. Based on these data, TESSy calculates the content of vials or syrup forms and allocates the correct DDD.

Variables to be reported for both vials and syrup forms:

Variable

PackageSize: Number of items in the box (either the number of vials or the number of

bottles of syrup)

PackageSizeUnit: PCS

Strength: Total amount of active substance in one item (either a vial or a bottle of syrup)

StrengthUnit: G/MG/IU/MU
SyrupForm: Yes or No or NA

Two options for computing data for the variable Strength

 Original strength (e.g. 500 mg per vial) expressed as the total amount of the active substance in one item.

The strength to be reported is the original strength.

• Original strength expressed as a concentration of an active substance per volume (e.g. 100mg per 5 ml).

The strength to be reported is the result of the calculation of the numerator of the original strength (e.g. 100 mg) divided by the denominator of the original strength (e.g. 5 ml) and multiplied by the volume of the item (e.g. bottle or vial).

Based on this information, TESSy will compute the content of the active substance for a vial or syrup bottle and allocate DDD accordingly.

Formula for computing the content of a medicinal product:

TESSy uses the following formula to compute a medicinal product's active substance content:

Content = PackageSize x Strength

Three examples for computing the content of product A (vials) and products B and C (syrup forms)

Example 1

The presentation of the <u>product A</u> is defined as 5 vials of 500 mg of amoxicillin each. For product A the information to be provided is:

PackageSize: 5
PackageSizeUnit: PCS
Strength: 500
StrengthUnit: MG
SyrupForm: NA

Based on this information, TESSy will compute the following content of the active substance for product A and allocate DDD:

Content of product $A = 5 PCS \times 500 mg = 2.5 g$

Example 2

The presentation of the <u>product B</u> is defined as 1 bottle of syrup of 60mL of amoxicillin at a concentration of 125mg/5mL. For product B the information to be provided is:

PackageSize: 1

PackageSizeUnit: PCS

Strength: 125/5x60=1500

StrengthUnit: MG SyrupForm: Y

Based on this information, TESSy will compute the following content of the active substance for product B and allocate DDD:

Content of product $B = 1 PCS \times 1500 mg = 1.5 g$

Example 3

The presentation of the <u>product C</u> is defined as 12 bottles of syrup each of 60mL of amoxicillin at a concentration of 125mg/5mL. For product C the information to be provided is:

PackageSize: 12
PackageSizeUnit: PCS

Strength: 125/5x60=1500

StrengthUnit: MG SyrupForm: Y

Based on this information, TESSy will compute the following content of the active substance for product C and allocate DDD:

Content of product C = 12 PCS x 1500 mg = 18 g

How to report data coverage in the AMCDS record type

TESSy calculates DDD or packages per 1000 inhabitants and per day. Therefore, TESSy has to divide the consumption figures by the population figures. The figures provided for the consumption and for the population should cover the same population. Now, some countries provide consumption figures for the whole population, others provide them only for a sample. The information about the coverage for consumption and population is stored in the record type AMCDS and should be provided for each health sector for which data are delivered.

Examples of all possible cases are provided below. The examples provided show data reported to TESSy for the sector community (primary care).

Country A reports data for the whole population from overall sales

The relevant variable names and the data reported in the record type AMCDS are as follows:

For the consumption data:

DS CoverageAC: 100%
DS CoverageExtrapolatedAC: No

The actual data coverage stored in TESSy is 100%.

For the population data:

Country A has the choice to use preferentially Eurostat data or its own national statistics database.

If country A chooses Eurostat data, TESSy will use Eurostat to retrieve the population figures. Country A reports in the record type AMCDS as follow:

DS EurostatDataAC: Yes

If country A chooses <u>not</u> to use Eurostat data, it reports in the record type AMCDS as follow:

DS EurostatDataAC: No

Additionally, it provides population data using the AMCDENOM record type.

Country B reports data extrapolated for the whole population from a sample representing 70% of the total population

Country B has collected data from a sample representing 70% of its total population. Then it has extrapolated the data to 100% of the population. The relevant variable names and the data reported in the record type AMCDS are as follows:

For the consumption data:

<u>DS CoverageAC</u>: 70% (as its original data covered only 70% of the population)

DS CoverageExtrapolatedAC: Yes

The actual data coverage in TESSy is 100% because Country B has extrapolated the data.

For the population data:

Country B, because it has extrapolated its original data to 100% of the population, can use preferentially Eurostat data or its own national statistics database. See example of *country A* for details.

Country C reports data for a sample representing 70% of the total population without any extrapolation

Country C has collected data from a sample representing 70% of its total population. It has not extrapolated the data to 100% of the population like Country B. The relevant variable names and the data reported in the record type AMCDS are as follows:

For the consumption data:

<u>DS CoverageAC</u>: 70% (as its original data covered only 70% of the population)

DS CoverageExtrapolatedAC: No

The actual data coverage in TESSy is 70% because Country C has not extrapolated the data.

For the population data:

Because the submitted consumption data represents only 70% of the total population, country C cannot use Eurostat data. The relevant variable names and the data reported in the record type AMCDS are as follows:

DS EurostatDataAC: No

Additionally, it provides population data corresponding to the sample using the record type AMCDENOM.

Country D reports data from a sample of 80% from the insured population covering itself 90% of the total population

Country D receives the consumption data from an insurance company that collected data only in a sample of the insured population representing only 80% of it. The insured population represents itself

90% of the total population. Country D has <u>four</u> different options to report the consumption data to ECDC. The choice of the options is up to countries:

Option 1: the sample has been extrapolated to the whole insured population

Country D submits the data extrapolated to the insured population. The relevant variable names and the data reported in the record type AMCDS are as follows:

For the consumption data:

<u>DS Coverage</u>: 90% (data extrapolated to the insured population)

DS CoverageExtrapolated: No

The actual data coverage in TESSy is 90% because Country D has not extrapolated the data to the total population.

For the population data:

Country D with option 1 is in the same situation as Country C.

DS EurostatDataAC: No

Additionally, it provides population data corresponding to insured population using the record type AMCDENOM.

Option 2: the sample has not been extrapolated to the whole insured population

Country D submits the original sample in the insured population without extrapolating to the total insured population. The relevant variable names and the data reported in the record type AMCDS are as follows:

For the consumption data:

DS Coverage: 72% (data not extrapolated to the insured population, i.e. 80% of

90% = 72%)

DS CoverageExtrapolated: No

The actual data coverage in TESSy is 72% because Country D has not extrapolated the data to the total population.

For the population data:

Country D with option 2 is in the same situation as Country C.

DS EurostatDataAC: No

Additionally, it provides population data corresponding to 80% of the insured population which finally represents 72% of the total population using the record type AMCDENOM.

Antimicrobials under ESAC-Net surveillance

The following antimicrobials are under ESAC-Net surveillance:

- Antibacterials for systemic use (ATC therapeutic subgroup J01);
- Antimycotics for systemic use (ATC therapeutic subgroup J02);
- Antifungals for systemic use (ATC chemical subgroup D01BA);
- Drugs for treatment of tuberculosis (ATC pharmacological subgroup J04A);
- Antivirals for systemic use (ATC therapeutic subgroup J05);
- Nitroimidazole derivatives used orally and rectally as antiprotozoals (ATC chemical subgroup P01AB);

• Vancomycin used orally as intestinal antiinfective (ATC chemical substance A07AA09).

The ATC/DDD index 2015 should be used (http://www.whocc.no/atc_ddd_index).

The latest update of the ATC/DDD index can be found at:

http://www.whocc.no/atc ddd index/updates included in the atc ddd index/

ATC and DDD updates

ATC updates

Table 19: New ATC codes

2016	ATC Code	ATC Name
	J01DD52	ceftazidime, combinations
	J01DI54	ceftolozane and enzyme inhibitor
	J01MB08	nemonoxacin
	J01XX11	tedizolid
	J02AC05	isavuconazole
	J05AR15	atazanavir and cobicistat
	J05AR16	lamivudine and raltegravir
	J05AR17	emtricitabine and tenofovir alafenamide
	J05AR18	emtricitabine, tenofovir alafenamide, elvitegravir and cobicistat
	J05AR19	emtricitabine, tenofovir alafenamide and rilpivirine
	J05AX16	dasabuvir
	J05AX65	sofosbuvir and ledipasvir
	J05AX66	dasabuvir, ombitasvir, paritaprevir and ritonavir
	J05AX67	ombitasvir, paritaprevir and ritonavir

Table 20: ATC changes

2016	ATC Code	Old ATC name	New ATC name
	No changes		

DDD updates

Table 21: New DDDs

2016	ATC code	R	oute	Salt	Inhalation Form	DDD value	DDD unit
	J01XB01		I		IP	3	MU
	J05AE14		0			0.15	g
	J05AX14		0			60	mg
	J05AX16		0			0.5	g

P: parenteral, I: inhalation, IP: inhalation powder, IS: inhalation solution.

Allocations of defined daily doses for combined products in TESSy

Table 22: DDD for combined products in TESSy

ATC Code	Route of administration	DDD
J01EE01	0	1.92 g
J01EE01	Р	1.92 g
J01EE02	0	1 g
J01EE03	0	1.92 g
J01EE03	Р	1.92 g
J01EE06	0	0.7 g
J01EE07	0	0.8 g
J05AR01	0	900 mg
J05AR02	0	900 mg
J05AR03	0	445 mg
J05AR04	0	1.5 g
J05AR06	0	1.045 g
J05AR08	0	470 mg
J05AR09	0	745 mg
J05AR13	0	950 mg

O: oral, P: parenteral.

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