

ECDC DRAFT TECHNICAL REPORT

Proposals for draft EU guidelines on the prudent use of antimicrobials in human medicine



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Abbreviations

DDD	Defined daily dose
DOT	Days of therapy
FTE	Full-time equivalent

1 Introduction

2 The exposure of microorganisms to antimicrobial agents creates the selective pressure that leads to the
3 development of resistance. Inappropriate use of antimicrobials accelerates the emergence and dissemination of
4 resistance. Combined with the meagre development of novel antimicrobials, the spread of resistance to existing
5 ones is leading to loss of effective options for the treatment and prevention of infections, representing a health
6 security threat for Europe. Antimicrobials are unique among therapeutic medicines because their use affects not
7 only the person receiving the treatment but also the rest of the population, including other patients, through a
8 complex effect on microbiota in the human host, other animal hosts and the environment.

9 In the context of ongoing work against the rising threats from antimicrobial resistance and given the role of
10 antimicrobial misuse and overuse in the emergence and spread of resistance, the European Commission asked
11 ECDC to develop draft EU guidelines on the prudent use of antimicrobials in human medicine, including generic
12 principles of good practice on the appropriate use of antimicrobial agents in human medical practice in the EU.
13 These draft guidelines will be a key contribution to support the European Commission in its aim to produce a
14 finalised EU guidelines document.

15 Definitions

16 An **antimicrobial** is an agent with activity against microorganisms (viruses, bacteria, fungi or parasites).
17 Antimicrobials with activity against bacteria are called antibacterials. The term 'antibiotic' is often used to refer to
18 antibacterials.

19 **Antimicrobial resistance (AMR)** is the resistance of a microorganism to an antimicrobial agent that was
20 originally effective for treatment of infections caused by this microorganism.

21 **Antimicrobial therapy** can be *empiric*, when based on a reasonable informed clinical judgement regarding the
22 most likely infecting organism or *definitive* when the identity and antimicrobial susceptibility of the infecting
23 organism is known as the result of appropriate diagnostic or reference testing.

24 **Antimicrobial prophylaxis** is the use of antimicrobials for the prevention of infections.

25 Prudent or **appropriate antimicrobial use** aims to benefit the patient while at the same time minimises the
26 probability of adverse effects and promotion of the emergence or spread of antimicrobial resistance.

27 **Antimicrobial stewardship programmes** refer to coordinated programmes that implement interventions to
28 ensure appropriate antimicrobial prescribing and effective antimicrobial treatment, in order to limit antimicrobial
29 resistance and to prevent *Clostridium difficile* infections.

30 **Prescribers** are all healthcare professionals qualified to prescribe antimicrobials. In addition to physicians and
31 dental practitioners, the term may refer to prescribing nurses, pharmacists, clinical microbiologists and midwives,
32 depending on local regulations.

33 Purpose

34 The purpose of this draft technical report is to provide guidance on generic elements of good practice on the
35 prudent and appropriate use of antimicrobials in human medical practice. This includes good clinical practice and
36 the resources, systems and processes that the various authorities and actors should consider in the development
37 and implementation of strategies for EU health systems to support and promote the prudent use of antimicrobials.

38 Scope

39 The present report relates to the prudent use of antimicrobials in human medicine, with special focus on
40 antibacterials. However, the principles also apply to other classes of antimicrobials.

41 The report will not cover specific medical conditions or specific antimicrobials.

42

Principles and elements for inclusion in guidelines

1. International – organisations, agencies

International cross-sectoral and inter-organisational collaboration and coordination is required to establish standards, systems and procedures necessary to control and prevent the cross-border spread of antimicrobial resistance and to ensure the sharing of best practice and support capacity development. Current evidence and expert opinion support the following as effective elements of international collaboration and cooperation:

- a. International collaboration in designing, implementing and monitoring antimicrobial stewardship interventions and campaigns to support appropriate antimicrobial use [expert consensus].
- b. Establish a new European platform for sharing best practice interventions on appropriate antimicrobial use and their impact on relevant qualitative and quantitative outcomes [expert consensus].
- c. International collaboration on surveillance of antimicrobial consumption and antimicrobial resistance [expert consensus].
- d. Scientific societies should support the development of good clinical practice guidelines that are adaptable to local resistance patterns and address the most common infections [expert consensus].
- e. Ensure access to essential antimicrobials by supporting market availability [expert consensus].
- f. Encourage the development of European and national standards for selective reporting of microbiology results to optimise antimicrobial prescribing [expert consensus].
- g. Develop European evidence-based guidelines on the use of rapid and point-of-care diagnostics [expert consensus].

2. National and regional – governments, administrators, public health agencies, professional associations and scientific societies

National and regional bodies play a key role in developing, implementing, and supporting the policies and infrastructure necessary to control and prevent antimicrobial resistance and in the regulation and audit of compliance with legal, policy and professional standards. Current evidence and expert opinion support the following as effective elements of national and regional policy, infrastructure and regulatory functions:

- a. Fund, develop and implement a national action plan for appropriate use of antimicrobials in human medicine including multi-faceted interventions adapted to local conditions [1] [expert consensus].
- b. Integrate national antimicrobial stewardship activities into the national antimicrobial resistance plans that include infection prevention and control and vaccination, in a 'One Health' approach [expert consensus].
- c. Set qualitative and quantitative targets for improvement of prescribing [expert consensus]. Example: antibiotic quality premium (NHS England) [2].
- d. Ensure availability of standardised local and national open data for benchmarking [expert consensus].
- e. Establish a national committee/platform for the development, implementation and monitoring of clinical guidance for infections [expert consensus].
- f. Ensure availability of national clinical guidance based on antimicrobial resistance patterns for the community, long-term care facilities and hospitals [3,4] [expert consensus].
- g. Ensure national clinical guidance is reviewed and revised when there is a significant change in antimicrobial resistance, new evidence on management of infections or at regular intervals (e.g. 2–3 years) [expert consensus].
- h. Provide guidelines and tools for the implementation of antimicrobial stewardship programmes covering the community, long-term care facilities and hospitals. Example: 'Start smart then focus' and TARGET antibiotics toolkit (UK) [3,5].
- i. Ensure availability of guidelines for therapeutic and prophylactic antimicrobial prescribing in dental practice [expert consensus].
- j. Establish a list of antimicrobials with restrictive measures for use [expert consensus] [4,6,7].
- k. Fund, design, implement and assess national campaigns on antimicrobial use targeting the public and health professionals [8].
- l. Explore incentive systems for appropriate prescribing [expert consensus] [9]. Examples: Introduction of appropriate prescribing as a certification indicator for healthcare facilities and Pay for Performance (P4P) in primary care (France), antibiotic quality premium (NHS England) [2].
- m. Review, or establish if not available, the legal provisions on availability of antimicrobials over the internet [expert consensus].

- 97 n. Ensure compliance with the regulations with regards to the dispensing of antimicrobials without prescription
98 and over the internet [expert consensus].
- 99 o. Monitor and audit the appropriate use of antimicrobials introducing relevant quality indicators and set up
100 systems for monitoring these indicators. Ensure regular feedback of the results to prescribers [expert
101 consensus].
- 102 p. Promote the introduction of electronic antimicrobial prescribing systems able to link clinical indication,
103 microbiological and consumption data [expert consensus].
- 104 q. Promote common antimicrobial stewardship programmes covering hospitals, primary care and long-term
105 care facilities at national and regional level [expert consensus].
- 106 r. Consider behavioural interventions to reduce inappropriate antimicrobial prescribing. Such interventions
107 include, for example, accountable justification and peer comparison [expert consensus] [10].
- 108 s. Explore motivational and system change approaches to optimise antimicrobial prescribing. Example:
109 reimbursement policies to discourage inappropriate prescribing and unnecessary doctor consultations for
110 minor infections and public commitment [expert consensus].
- 111 t. Explore per unit dispensing of antimicrobials to match pack size with planned course duration according to
112 national guidelines [expert consensus].
- 113 u. Supervise and regulate promotional activity by the pharmaceutical industry, so it contributes to appropriate
114 antimicrobial prescribing [expert consensus].

115 3. Healthcare facilities (resources, systems and processes 116 for healthcare facilities)

117 Healthcare facilities are on the frontline for the implementation of policies and procedures, and for the provision of
118 surveillance and monitoring data, which are necessary to control and prevent antimicrobial resistance. They are
119 also a key point in the system for the audit of compliance with policy and professional standards. Current evidence
120 and expert opinion support the following as effective elements of guidelines to be applied at the level of a
121 healthcare facility:

- 122 a. Establish and provide the necessary funding for antimicrobial stewardship programmes in all healthcare
123 facilities [11-14].
- 124 b. For hospitals, the elements of such programmes should include:
- 125 i. An antimicrobial committee or similar formal organisational structure.
- 126 ii. An antimicrobial stewardship team including at least a clinician (iii) and a pharmacist (iv).
- 127 iii. A clinician with expertise in the management of infections to be responsible for the antimicrobial
128 stewardship team.
- 129 iv. A pharmacist responsible for antimicrobial use.
- 130 v. Salary support and dedicated time for antimicrobial stewardship activities. Example: 0.5–1.5 full-
131 time equivalents (FTEs) per 250 acute care beds [15,16]. Example of indicator: number of FTEs for
132 antimicrobial stewardship activities.
- 133 vi. IT support for antimicrobial stewardship activities.
- 134 vii. Guidelines for the diagnosis and management of infections. Example of indicator: proportion of
135 prescriptions compliant with guidelines.
- 136 viii. Documentation in the patient chart of indication, drug choice, dose, route and duration of
137 treatment [expert consensus]. Example of indicator: proportion of antimicrobial treatment courses
138 with documentation of indication in the notes, among all antimicrobial treatment courses.
- 139 ix. A policy for preauthorisation and/or post-prescription review.
- 140 x. The availability of facility-specific cumulative susceptibility reports for common bacterial pathogens
141 against antibiotics that are recommended in the guidelines.
- 142 xi. An audit of perioperative antimicrobial prophylaxis choice, timing and duration.
- 143 xii. An annual report on antimicrobial stewardship activities.
- 144 xiii. Monitoring of quality indicators and quantity metrics of antimicrobial use with feedback to
145 prescribers and prescriber actions agreed. Example of indicators: defined daily doses (DDDs) or
146 days of therapy (DOTs) per 100 patient-days; proportion of cases of *Staphylococcus aureus*
147 bacteraemia where infectious disease consultation was provided.
- 148 c. Promote rapid and/or point-of-care diagnostics for defined patient groups to complement clinical
149 assessment and optimise antimicrobial treatment [17-23].
- 150 d. Introduce electronic decision support systems [21] as tools to improve antimicrobial prescribing [24].
- 151 e. Ensure timely access to clinical microbiology laboratory services. For acute care hospitals, these services
152 should be provided on a 24/7 basis for critical specimens [expert consensus].
- 153 f. Establish a multi-faceted approach that may include elements such as clinic-based education, patient
154 information leaflets [25] and public patient education campaigns combined with clinician training [21] in
155 communication skills.

156 4. Laboratories

157 Laboratories play a key role in providing diagnostic information and the expertise required to inform effective
158 control and prevention of antimicrobial resistance. Current evidence and expert opinion support the following as
159 effective elements of guidelines to be applied at the laboratory level:

- 160 a. Ensure that susceptibility testing and reporting are in accordance with treatment guidelines (selective
161 reporting), and European and national standards [expert consensus]. Example: Selective reporting for
162 urinary tract infections [26].
- 163 b. Ensure timely diagnosis and communication of critical results (e.g. blood cultures) [27].
- 164 c. Provide facility-specific cumulative susceptibility reports for common bacterial pathogens against antibiotics
165 that are recommended in the guidelines [expert consensus].

166 5. Prescribers

167 Prescribers are ultimately responsible for the decision to use, and the choice of, antimicrobials in patient care, and
168 as such need to be provided with appropriate training, guidelines and information in order to be able to exercise
169 prudence in the prescribing of antimicrobials and manage patient expectations. Current evidence and expert
170 opinion support the following as effective elements of guidelines to support and enable prescribers in the control
171 and prevention of antimicrobial resistance:

- 172 a. When deciding on the use of antimicrobials, the prescriber should:
 - 173 i. for a patient with sepsis, start antimicrobial treatment via the intravenous route within 1 hour of
174 recognition of sepsis [28]
 - 175 ii. avoid antimicrobial treatment when there is evidence of viral infection or of a self-limiting bacterial
176 infection [expert consensus]
 - 177 iii. avoid treatment for colonisation without evidence of infection [29] [expert consensus]
 - 178 iv. use antimicrobial prophylaxis only when indicated according to guidelines [expert consensus]
 - 179 v. avoid therapy with combinations of antimicrobials unless there is a clear indication according to
180 guidelines [expert consensus]. Example of indicator: proportion of combination treatments among
181 total number of antimicrobial treatments
 - 182 vi. select an antimicrobial in accordance with available guidelines, at an appropriate dose and for the
183 shortest effective duration [expert consensus]
 - 184 vii. consider relevant host factors: age, immune status, renal function, allergies, foreign bodies and
185 risk factors for antimicrobial resistance (e.g. history of recent antimicrobial use, history of recent
186 travel) [expert consensus]
 - 187 viii. consider allergy testing for patients with a history of allergic reaction to beta-lactams, as a
188 measure to promote use of first-line antimicrobials in non-allergic patients [20]
 - 189 ix. select an antimicrobial with a spectrum of activity as narrow as possible. Example of indicator:
190 [expert consensus] consumption of beta-lactamase-sensitive penicillins (ATC code: J01CE)
191 expressed as a percentage of the total consumption of antibacterials for systemic use (ATC code:
192 J01) [30]
 - 193 x. reassess antimicrobial treatment and consider modification (e.g. de-escalation, discontinuation or
194 switch to oral treatment) after 48–72 hours in hospitals, and in specific circumstances in other
195 settings according to guidelines. Example: Day 3 bundle including antibiotic plan, review of
196 diagnosis, adaptation to microbiology and intravenous-to-oral switch [7,31,32]. Example of
197 indicator: proportion of documented antimicrobial courses with reassessment after 48–72 hours.
 - 198 xi. inform the patient about their antimicrobial treatment
 - 199 xii. if antimicrobial treatment is not considered necessary, give patients advice about the expected
200 natural history of the illness, the limited or absent benefit of antimicrobial treatment, and the
201 potential unwanted side-effects of antimicrobials such as diarrhoea and rash, as well as advice
202 about actions in case of worsening clinical condition (safety netting)
 - 203 xiii. address the patient's expectations, questions and preferences as an essential component of
204 patient-centred care and an effective intervention to promote the prudent use of antimicrobials
205 [33]
- 206 b. In the community:
 - 207 i. do not prescribe antibacterials for viral or self-limiting bacterial infections [34]. Example of
208 indicator: Seasonal variation of the total antibiotic consumption (ATC code: J01) (in the
209 community) [30,35]
 - 210 ii. consider delayed antimicrobial prescribing with appropriate safety netting for adults or children in
211 specific circumstances and according to guidelines [36-38]. Example: delayed antimicrobial
212 prescribing for upper respiratory tract infections

- 213 iii. the use of antimicrobials in patients with self-limiting respiratory infection to prevent suppurative
214 bacterial complications should be discouraged, after accounting for patient-specific factors (e.g.
215 immunosuppression, infants). [39]
- 216 iv. evaluate symptoms and use scoring systems or symptom checking lists to guide the need for
217 diagnostic testing, antimicrobial treatment and urgent referral. Example: use of Centor score or
218 feverPAIN score and rapid streptococcal antigen testing for sore throat
- 219 v. dentists should prescribe antimicrobials according to guidelines. Antimicrobials should not be used
220 as a substitute to dental operative intervention [40-42].
- 221 c. In hospitals:
- 222 i. take appropriate microbiological samples before initiation of antimicrobial treatment [expert
223 consensus]
- 224 ii. follow guidance for perioperative antimicrobial prophylaxis [43] and, in particular, administer
225 intravenous perioperative antimicrobial prophylaxis within 60 minutes before incision (except when
226 administering vancomycin and fluoroquinolones), prefer single dose of perioperative antimicrobial
227 prophylaxis, and avoid prolonging antimicrobial prophylaxis after the end of surgery [expert
228 consensus]. Examples of indicators: rate of compliance with administration of perioperative
229 antimicrobial prophylaxis within 60 minutes before incision, rate of compliance with discontinuation
230 of perioperative antimicrobial prophylaxis within 24 hours after initiation of surgery
- 231 iii. evaluate the need for parenteral antimicrobials and switch to oral antimicrobials when possible,
232 according to available clinical criteria [7,14,20,44]
- 233 iv. in high-risk patients, avoid the use of antimicrobials that are associated with increased risk for
234 *Clostridium difficile* infection if there are alternatives [14,20,45]. Example of indicator: incidence of
235 *Clostridium difficile* infections
- 236 v. therapeutic drug monitoring is recommended for adjustment of the dosing regimen according to
237 guidelines and in specific circumstances. Example: therapeutic drug monitoring for aminoglycosides
238 and vancomycin [20].

239 6. Pharmacists

240 Pharmacists are the gatekeepers to the use of antimicrobials and can act as an important source of advice and
241 information for patients, and as such need to be provided with appropriate training, guidelines and information in
242 order to be able to exercise prudence in the prescribing of antimicrobials and manage patient expectations. Current
243 evidence and expert opinion support the following as effective elements of guidelines to support and enable
244 pharmacists in the control and prevention of antimicrobial resistance:

- 245 a. Do not dispense antimicrobials without prescription, unless specific provisions allow for regulated
246 dispensation in specific circumstances [expert consensus]
- 247 b. Ensure that the patient understands the dosage and duration of treatment and promote returning leftover
248 antimicrobials to the pharmacy [expert consensus].

249 7. Nurses

250 The role of nurses within the clinical team, and in particular their regular contact with patients and their role in
251 administering medicines, can be critical to ensuring that antimicrobials are taken according to the prescription and
252 for monitoring the response to antimicrobials (including potential adverse effects). The role of nurse prescribers is
253 also critical and is covered in the preceding section). Current evidence and expert opinion support the following as
254 an effective element of guidelines to support and enable nurses in the control and prevention of antimicrobial
255 resistance:

- 256 a. Be actively involved in antimicrobial management as part of the clinical team by acting as the link with the
257 pharmacy, being responsible for the administration of antimicrobials and for monitoring the patient and
258 patient safety [expert consensus].

259 8. Infection control practitioners

260 Infection control practitioners play an essential role in the prevention of healthcare-associated infections, many of
261 which are associated with inappropriate antimicrobial use, and as such can support the prudent use of
262 antimicrobials through the provision of advice and peer review. Current evidence and expert opinion support the
263 following as effective elements of guidelines to support and enable infection control practitioners in the control and
264 prevention of antimicrobial resistance:

- 265 a. Ensure the collaboration of antimicrobial stewardship programmes and infection prevention and control
266 activities by highlighting the role of appropriate antimicrobial use in the prevention and control of
267 healthcare-associated infections [expert consensus].

268 9. Education / academics

269 Education and training are essential to the establishment of appropriate knowledge, attitudes and behaviour
270 among healthcare professionals and the public. Current evidence and expert opinion support the following as
271 effective elements of guidelines in respect of the role of education and academics in the control and prevention of
272 antimicrobial resistance:

- 273 a. Ensure that all healthcare professionals are regularly trained on appropriate antimicrobial use [14,46].
274 Example: require participation in a minimum number of certified education activities on antimicrobial
275 prescribing and use
- 276 b. Include training on prudent antimicrobial use in medical, nursing, pharmacy, dentistry and midwifery
277 schools [expert consensus] [14,20]
- 278 c. Introduce education on prudent antimicrobial use, antimicrobial resistance, vaccination and hygiene in
279 primary and secondary education. Example: through implementation of the e-Bug platform [expert
280 consensus].

281 10. Public / patients

282 The knowledge, attitudes and behaviour of the public and patients can be of profound importance in establishing
283 and ensuring the prudent use of antimicrobials, both in terms of their expectations, and the normative pressures
284 that these can exert on peers and healthcare professionals, and their adherence to medication schedules. Current
285 evidence and expert opinion support the following as effective elements of guidelines in respect of the public and
286 patients in the control and prevention of antimicrobial resistance:

- 287 a. Get informed about appropriate antimicrobial use, antimicrobial resistance and adverse reactions to
288 antimicrobials [expert consensus]
- 289 b. Do not use antimicrobials without a medical prescription [expert consensus]
- 290 c. Do not use leftover antimicrobials [expert consensus].

291 11. Research

292 Research is essential to combat the current levels of, and rising trends in, antimicrobial resistance. In particular,
293 translational research is needed to identify options for improving the ways in which we use existing antimicrobials
294 and mitigate the risks of the development of resistance. Based on identified knowledge gaps, the following
295 elements were considered important research targets to support the prudent use of antimicrobials:

- 296 a. Promote research that assesses and compares behavioural change interventions for antimicrobial
297 prescribing, taking into account cultural differences, in order to improve our understanding of the optimal
298 ways that rational antimicrobial prescribing practices can be achieved [47]
- 299 b. Promote research on interventional studies for antimicrobial prescribing [expert consensus]
- 300 c. Research the risk of specific antimicrobials and antimicrobial classes for the selection of antimicrobial
301 resistance in microbiota to allow ranking and rational use [expert consensus]
- 302 d. Ensure that results from research on antimicrobials and antimicrobial prescribing are translated into practice
303 [expert consensus]
- 304 e. Promote high quality clinical research studies on existing antimicrobials [expert consensus]
- 305 f. Promote research on rapid and point-of-care diagnostics to support evidence-based guidelines for the role
306 of diagnostics in appropriate antimicrobial prescribing [expert consensus].

307 12. Pharmaceutical industry

308 The pharmaceutical industry is a key partner in efforts to ensure the prudent use of antimicrobials. Current
309 evidence and expert opinion support the following as effective elements of guidelines in respect of the role of the
310 pharmaceutical industry in the control and prevention of antimicrobial resistance:

- 311 a. Ensure that promotional activities are in accordance with the summaries of product characteristics (SPCs)
312 and national guidelines, and that they mention the risks of antimicrobial resistance and inappropriate use
313 [expert consensus]
- 314 b. Adapt pack size and strength to indications [14] [expert consensus]
- 315 c. Consider special labelling of antimicrobial packages that identify them as such and indicate that they are
316 medical products for specific use only as prescribed [expert consensus]

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447

Appendix 1. Methodology

448 In order to provide comprehensive guidelines we aimed to address all aspects of prudent antimicrobial use that are
449 relevant to human medicine, in the following domains: 1) international – organisations, agencies, 2) national and
450 regional – governments, administrators, public health agencies, professional associations and scientific societies, 3)
451 healthcare facilities, 4) laboratories, 5) prescribers, 6) pharmacists, 7) nurses, 8) infection control practitioners, 9)
452 education/academics, 10) public and patients, 11) research and 12) the pharmaceutical industry.

453 Given the availability of evidence-based guidelines and systematic reviews, we initially conducted a systematic
454 review of published guidelines and systematic reviews on interventions, policies, practices, systems and processes
455 that are effective in promoting the prudent use of antimicrobials in human medicine. Published guidelines and
456 systematic reviews were identified through searches in Pubmed, EMBASE, the Cochrane database of systematic
457 reviews (CDSR) and a search of national guidelines at Member State ministries of health, public health agencies
458 and professional associations' websites. The search strategy in Pubmed was: ('anti-bacterial
459 agents'[Pharmacological Action] OR 'anti-bacterial agents'[MeSH Terms] OR ('anti-bacterial'[All Fields] AND
460 'agents'[All Fields]) OR 'anti-bacterial agents'[All Fields] OR 'antibiotic'[All Fields]) AND ('prescribing'[All Fields] OR
461 'stewardship'[All Fields] OR ('policy'[MeSH Terms] OR 'policy'[All Fields])) AND ('Guideline'[ptyp] OR
462 'systematic'[sb]) and yielded 554 items. The search strategy in EMBASE was: antibiotic OR antimicrobial AND
463 prescr* AND ([cochrane review]/lim OR [systematic review]/lim OR [meta analysis]/lim) AND ([article]/lim OR
464 [review]/lim) AND [humans]/lim and identified 458 articles. The search strategy in CDSR was: (antibiotic OR
465 antimicrobial) AND (prescribing OR stewardship OR policy) and identified 381 items. The results were reviewed
466 independently by two reviewers. The AGREE guideline appraisal instrument was used for assessment of the quality
467 of the guidelines, while PRISMA was used as a guide for the assessment of the systematic reviews [48,49]. In
468 total, 39 publications (evidence-based guidelines and systematic reviews) were included in the synthesis of
469 evidence. The reference lists of all selected studies were reviewed to identify additional relevant publications.

470 In addition to the evidence-based guidelines, expert consensus was used to support recommendations for systems,
471 processes and policies to promote and facilitate prudent use of antimicrobials by all relevant actors, including
472 quality assurance measures. To this end, guidelines and other available relevant documents (e.g. action plans)
473 were consulted for system-level recommendations and relevant ones were proposed for discussion by the expert
474 group [3,4,6,14,50]. Available guidelines were assessed to determine the level of evidence of each included
475 relevant recommendation. Elements of national guidelines that were specific for the setting in a particular country
476 were considered to see whether they would support a widely applicable recommendation. An initial list of
477 recommendations based on the systematic review of existing guidelines and systematic reviews was drafted to be
478 used as a basis for discussion.

479 Examples of good practice were provided where possible. Example indicators or metrics that can be used for
480 monitoring appropriate use of antimicrobials and comparisons or benchmarking were proposed based on available
481 relevant indicator lists, e.g. the DRIVE-AB project [35], the TATFAR structure and process indicators for
482 antimicrobial stewardship programmes [51] and the ESAC-Net indicators on consumption of antibacterials [30]. A
483 list of the proposed indicators or metrics is provided in Appendix 2.

484 An initial meeting was organised by the European Commission on 25 May 2016 in Luxembourg with the purpose of
485 informing European stakeholders and Member State representatives and receiving their initial input and comments.

486 An expert meeting on 9–10 June 2016 was organised by ECDC to solicit input and feedback from a panel of experts
487 from Member States, selected on the basis of experience and research in the field of antimicrobial use both in
488 hospitals and the community. An initial list of recommendations was proposed and revised during the expert
489 meeting. The revised recommendations were rated by the experts on a 1–9 Likert scale of appropriateness of
490 inclusion in the EU guidelines. The median rating of all recommendations was ≥ 7 and disagreement as measured
491 by interquartile range 25–75 was low (≤ 3). The recommendations were also rated for the level of supporting
492 evidence. This is the first draft of the guidelines and is open for public consultation until 5 September 2016.

493 A second meeting with European stakeholders, including professional societies, is planned for September 2016.

494 The draft guidelines are expected to be finalised and submitted to the European Commission by the end of October
495 2016.

496

497 Appendix 2. List of proposed examples of 498 quantitative indicators

Proposed examples of indicators/metrics	Recommendation
Number of FTEs for antimicrobial stewardship activities	3b v.
Proportion of prescriptions compliant with guidelines	3b vii.
Proportion of antimicrobial treatment courses with documentation of indication in the notes among all antimicrobial treatment courses	3b viii.
Antimicrobial consumption measured in DDDs or DOTs per 100 patient-days (in healthcare facilities)	3b xiii.
Proportion of cases of <i>Staphylococcus aureus</i> bacteraemia where infectious disease consultation was provided	3b xiii.
Proportion of combination treatments among total number of antimicrobial treatments	5a v.
Consumption of beta-lactamase-sensitive penicillins (ATC code: J01CE) expressed as a percentage of the total consumption of antibacterials for systemic use (ATC code: J01)	5a ix.
Proportion of documented antimicrobial courses with reassessment after 48–72 hours	5a x.
Seasonal variation of the total antibiotic consumption (ATC code: J01) (in the community)	5b i.
Rate of compliance with administration of perioperative antimicrobial prophylaxis within 60 minutes before incision	5c ii.
Rate of compliance with discontinuation of perioperative antimicrobial prophylaxis within 24 hours after initiation of surgery	5c ii.
Incidence of <i>Clostridium difficile</i> infections	5c iv.

499

500 *FTE, full-time equivalent; DDD, defined daily dose; DOT, day of therapy.*